



# NAVAL HISTORY AND HERITAGE COMMAND

## PERMIT APPLICATION

Seeking Authorization for Archaeological Investigations and Other Activities Directed at Sunken Military Craft and Terrestrial Military Craft under the Jurisdiction of the Department of the Navy

**Project Title:**

**Sunken or Terrestrial Military Craft:**

**Approximate Location of Site:**

**Name of Applicant:**

**Schedule of Proposed Project:**

**Affiliation of Applicant:**

**Address of Applicant:**

**E-mail Address of Applicant:**

**Telephone of Applicant**

**Name of Principal Investigator** (if separate)

**Affiliation of Principal Investigator:**

**Signature of Applicant:**

**Signature of Principal Investigator:**

### GUIDELINES

*Applicants must submit a signed digital copy and two signed printed copies of completed applications to the Underwater Archaeology Branch of the Naval History and Heritage Command. Completed applications should be submitted to the following:*

- [nhhcunderwaterarchaeology@navy.mil](mailto:nhhcunderwaterarchaeology@navy.mil)
- Naval History and Heritage Command  
Underwater Archaeology Branch  
805 Kidder Breese St. SE.  
Washington Navy Yard  
Washington, DC 20374-5060.

## SPECIAL USE PERMIT CRITERIA

**Does the proposed activity fall under one of the following categories?**

1. An activity to document a sunken military craft utilizing diving methods or remotely-operated or autonomously operated equipment, or collect data or samples from a wrecksite, whether a sunken military craft or terrestrial military craft, that would result in the wrecksite's disturbance but otherwise be minimally intrusive. The recovery of artifacts is generally not authorized for activities of this type. YES \_\_\_\_\_  
NO \_\_\_\_\_
  
2. An activity that would disturb, remove, or injure a non-historic sunken military craft. *Historic* in the case of a sunken military craft or a terrestrial military craft means fifty (50) years have elapsed since the date of its loss and/or the craft is listed on, eligible for, or potentially eligible for listing on the National Register of Historic Places. YES \_\_\_\_\_  
NO \_\_\_\_\_

If neither of the above two categories applies to your proposed activity, please proceed to complete this Permit Application form. Depending on the extent and complexity of the proposed project, more information may be requested by NHHC.

If either of the above categories applies to your proposed activity, please proceed to complete the Special Use Permit Application form instead.

## PERMIT EVALUATION

Please review the permit guidelines closely as they elaborate or provide clarification regarding the application, evaluation process, principal investigator credentials, reporting requirements and conditions of an approved permit. Additional information may be required by NHHC in order to effectively evaluate the proposed activity.

The permit application will be reviewed to ensure that the proposed activity is compatible with NHHC policies as stated in 32 CFR 767.5 and associated guidelines. Among other criteria, the proposed activity will be judged based on project objectives being consistent with Department of the Navy (DON) policy and the near- and long-term interests of the DON; relevance or importance of the proposed project; archaeological, historical, or educational purposes achieved; appropriateness and environmental consequences of technical approach; conservation of any recovered material and a long-term collection management plan; qualifications of the applicants relative to the type and scope of the work proposed; and funding to carry out proposed activities.

Please append answers to the following questions and provide a curriculum vitae or resume for the principal investigator and each of the primary research team members. Consult the Permit Application Guidelines for required credentials of the principal investigator. In general, the principal investigator is expected to serve as the permit holder; however, NHHC will accept a different individual than the principal investigator to apply as the permit holder on a case-by-case basis.

## RESEARCH DESIGN REQUIREMENTS

1. Please provide a statement of research objectives, scientific methods, and significance of the proposed work to the U.S. Navy or the nation's maritime cultural heritage. This should include a clear discussion of the archaeological, historical, or educational purposes of the proposed activity. Append additional pages as necessary.
2. If applicable, please provide a summary of significant previous research conducted on the particular wrecksite or the area of interest.
3. Discuss how the proposed activity could disturb, remove, or injure the sunken military craft or the terrestrial military craft and the related physical environment.
4. Discuss the methodology planned to accomplish the project's objectives. This should include a map showing the study location(s) and a description of the wrecksite(s) of particular interest.
5. Provide an analysis of the extent and nature of potential environmental impacts from the proposed activities and feasible mitigation measures that could reduce, avoid, or reverse environmental impacts. Please note whether any other permits or authorizations are required, as well as the authority and expected timeline for issuance of other permits, associated with the environmental impacts of the proposed activity.
6. Provide a detailed plan for wrecksite restoration and remediation with recommendations on wrecksite preservation and protection of the wrecksite location, if applicable.
7. Identify all other members of the research team, their area of contribution, and their qualifications. Please submit a resume or curriculum vitae detailing the professional qualifications of the principal investigator and primary research team members to be covered by the requested permit. Changes to the primary research team subsequent to the issuance of a permit must be authorized via a permit amendment request.
8. Provide a proposed budget, identify the funding source, and convey sufficient data to substantiate financial capability to complete the proposed research. This should include, if applicable, any conservation and curation costs associated with or resulting from the proposed activity.
9. Provide a proposed plan for the public interpretation and professional dissemination of the proposed activity's results.
10. If the proposed activity is to include excavation and/or removal of artifacts from a sunken military craft or terrestrial military craft, please provide:
  - a. A conservation plan, estimated cost, and the name of the university, museum, laboratory, or other scientific or educational institution in which the material will be conserved, including written certification, signed by an authorized official of the institution, of willingness to assume conservation responsibilities.

- b. A plan for applicable post-fieldwork artifact analysis, including an associated timetable.
  - c. The name of the facility in which the recovered materials and copies of associated records derived from the work will be curated on loan from NHHHC. Please include a written certification, signed by an authorized official of the institution, of willingness to assume curatorial responsibilities for the collection. The named repository must also submit a standard facility report, or equivalent documentation, which demonstrates the repository meets, at a minimum, the standards set forth in 36 CFR 79, Curation of Federally-Owned and Administered Archaeological Collections.
11. Provide a proposed project timetable to incorporate all phases of the project through to the final report and/or any other project-related activities.