Diversity, Inclusion, and Equal Opportunity in the Armed Services: Background and Issues for Congress

Kristy N. Kamarck
Analyst in Military Manpower

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Summary

Diversity, inclusion, and equal opportunity are three terms that are often used interchangeably; however, there are some differences in how they are interpreted and applied between the Department of Defense (DOD) and civilian organizations. DOD’s definitions of diversity and equal opportunity have changed over time, as have its policies toward inclusion of various demographic groups. These changes have often paralleled social and legal change in the civilian sector. The gradual integration of previously excluded groups into the military has been ongoing since the 19th century. However, in the past few decades there have been rapid changes to certain laws and policies regarding diversity, inclusion, and equal opportunity in the Armed Forces. Since 2009, DOD policy changes and congressional actions have allowed individuals who are gay to serve openly, recognized their same-sex spouses as dependents for the purpose of military benefits, opened all combat assignments to women. On June 30, 2016, DOD announced the end of restrictions on service for transgender troops.

Under Article 1, Section 8 of the U.S. Constitution, Congress has the authority to raise and support armies; provide and maintain a navy; and provide for organizing, disciplining, and regulating them. Congress has used this authority to establish criteria and standards that must be met for individuals to be recruited into the military, to advance through promotion, and to be separated or retired from military service. Throughout the history of the armed services, Congress has established some of these criteria based on demographic characteristics such as race, sex, and sexual orientation. In recent years, Congress and the Administration have taken actions to build a more diverse and representative military workforce in parallel with efforts to diversify the federal civilian workforce.

Military manpower requirements derive from National Military Strategy and are determined by the military services based on the workload required to deliver essential capabilities. Some argue that to effectively deliver these capabilities a workforce with a range of backgrounds, skills and knowledge is required. In this regard, DOD’s pursuit of diversity is one means to acquire those necessary capabilities by broadening the potential pool of high-quality recruits and ensuring equal opportunities for advancement and promotion for qualified individuals throughout a military career. DOD has used diversity and equal opportunity programs and policies to encourage the recruitment, retention, and promotion of a diverse force that is representative of the nation.

Those who support broader diversity and equal-opportunity initiatives in the military contend that a more diverse force is a better performing and more efficient force. They point out that the nature of modern warfare has been shifting, requiring a range of new skills and competencies, and that these skills may be found in a more diverse cross-section of American youth. Many believe that it has always been in the best interest of the military to recruit and retain a military force that is representative of the Nation as a “broadly representative military force is more likely to uphold national values and to be loyal to the government—and country—that raised it.” They contend that in order to reflect the nation it serves the military should strive for diversity that mirrors the shifting demographic composition of civil society.

Some argue that historically underrepresented demographic groups continue to be at a disadvantage within the military and that efforts should be intensified to ensure equal opportunity for individuals in those groups. Some also contend that if the military is to remain competitive with private-sector employers in recruiting a skilled workforce, DOD should offer the same equal-opportunity rights and protections that civilian employees have.

Some who oppose the expansion of diversity and equal-opportunity initiatives have concerns about how these initiatives might be implemented and how they might impact military readiness.
Some believe that diversity initiatives could harm the military’s merit-based system, leading to accessions and promotions based on demographic quotas instead of performance criteria. Some contend that a military that is representative of the nation should also reflect the social and cultural norms of the nation. In this regard, they argue that the popular will for social change should be the driving factor for DOD policies. Others express concern that the inclusion of some demographic groups is antithetical to military culture and could affect unit cohesion, morale, and readiness—particularly in elite combat units. In terms of equal opportunity and inclusion, some argue that the military has a unique mission that requires the exclusion of some individuals based on, for example, physical fitness level, education attainment, or social characteristics.
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Overview

In recent years Congress and the Administration have taken actions to build a more diverse and representative military workforce. In the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (P.L. 110-417), Congress mandated the creation of a Military Leadership Diversity Commission (MLDC) tasked with conducting “a comprehensive evaluation and assessment of policies that provide opportunities for the promotion and advancement of minority members of the Armed Forces, including minority members who are senior officers.”¹ The Commission’s final report, From Representation to Inclusion: Diversity Leadership in the 21st-Century Military, noted that while great strides had been made in developing a diverse force, women and racial/ethnic minorities are still underrepresented in top leadership positions. In May 2011, the Commission’s report was released, and in August 2011 President Barack Obama issued an Executive Order (EO 13583) calling for a coordinated government-wide initiative to promote diversity and inclusion in the federal workforce. In Section 528 of the National Defense Authorization Act for Fiscal Year 2016 (P.L. 114-92), Congress reaffirmed a commitment to maintaining a diverse military stating:

Diversity contributes to the strength of the Armed Forces.... It is the sense of Congress that the United States should—(1) continue to recognize and promote diversity in the Armed Forces; and (2) honor those from all diverse backgrounds and religious traditions who have made sacrifices in serving the United States through the Armed Forces.

Military manpower requirements derive from National Military Strategy and are determined by the military services based on the workload required to deliver essential capabilities. The 2015 National Military Strategy highlights the importance of diversity in acquiring those capabilities, stating:

To enhance our warfighting capability, we must attract, develop, and retain the right people at every echelon. Central to this effort is understanding how society is changing… Therefore, the U.S. military must be willing to embrace social and cultural change to better identify, cultivate, and reward such talent.²

In this regard, DOD’s pursuit of a diversity management program is one means to broaden the potential pool of high-quality recruits and to retain those individuals who can best fill required roles at every level.

DOD’s definitions of diversity and equal opportunity have changed over time, as have its policies towards inclusion of various demographic groups in military service and occupational assignments. In some cases, these changes have come about in response to changes in law. Under Article 1, Section 8 of the U.S. Constitution, Congress has the authority to raise and support armies; provide and maintain a navy, and to provide for organizing, disciplining, and regulating them. In the past, Congress has used this authority to establish criteria and standards for recruiting individuals into the military, promoting them, and separating or retiring them from military service. Throughout the history of the armed services, Congress has established some of these criteria based on demographic characteristics such as race, sex, and sexual orientation.

¹ The Commission’s reference to minorities includes racial/ethnic minorities and women (although not a minority in the general population, women make up a significantly smaller percentage of the total Armed Forces.) The Commission did not address issues related to the service of openly gay men and women as that topic was being addressed by the DOD Comprehensive Review Working Group.

² Department of Defense, Chairman of the Joint Chiefs of Staff, The National Military Strategy of the United States of America 2015.
The gradual integration of different demographic groups into the military has continued since the 19th century; however, in the past decade there have been rapid changes to laws and policies with regard to diversity, inclusion, and equal opportunity in the Armed Forces. Since 2009, DOD policy changes and congressional actions have allowed individuals who are gay to serve openly and recognized their same-sex spouses as dependents, opened all combat assignments to women, and, as of June 30, 2016, changed policies that restricted transgender troops from serving. Some feel that these changes are happening too quickly or should not happen at all given potential negative impacts on military readiness and unit cohesion. Others argue that DOD’s shifting policies reflect broader societal, cultural, and demographic shifts within the United States, and will create a stronger, more effective force.

This report starts by giving an overview of recent research on diversity and organizational management to demonstrate why organizations value diversity and what the findings on diversity mean in a military context. The next sections outline DOD’s military personnel policies, processes and organizational structure for managing diversity, inclusion, and equal opportunity. Following that, the report examines how the concept of diversity and inclusion has evolved over the history of the Armed Forces and provides a snapshot of the current demographic composition of the military relative to the U.S. civilian population. Finally, the report addresses some of the current legislative and policy issues related to diversity in the Armed Forces.

**Why Do Organizations Value Diversity?**

Diversity is often defined as the variation of traits within groups of two or more people and may include both visible (e.g., sex, age, race) and invisible (e.g., knowledge, culture, values) traits. An Internet search on “diversity initiatives in the workplace,” produces more than one million results. Given the emphasis placed on diversity by modern organizations it is important to understand why workforce diversity is valued and what that means in the context of military personnel management.

Many argue that diversity is a core value of an egalitarian and multicultural society and organizations should seek diversity regardless of its relationship with performance metrics. From a human resource perspective, diversity is typically studied with regard to its impact on group dynamics and other factors that contribute to organizational performance. Two key factors that have been studied in both the civilian and military context are

- cohesion: commitment to other members of the group and the group’s shared objectives; and
- effectiveness: the ability of the group to efficiently meet its objectives

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3 P.L. 111-321.
Studies on the impact of diversity on these factors have had mixed findings, leading some to argue that diversity is beneficial to organizational success, and others to suggest that it might be harmful.

Diversity and Cohesion

There are varying definitions of cohesion. In the military context, the 1992 Presidential Commission on the Assignment of Women in the Armed Forces defined it as

the relationship that develops in a unit or group where (1) members share common values and experiences; (2) individuals in the groups conform to group norms and behavior in order to ensure group survival and goals; (3) members lose their personal identity in favor of a group identity; (4) members focus on group activities and goals; (5) unit members become totally dependent upon each other for the completion of their mission and survival; and (6) group members meet all standards of performance and behavior in order not to threaten group survival.8

In this regard, military cohesion could be described as the “stick togetherness” of a team that allows the team to perform at a high level under stressful conditions.

Some studies have found that higher overall levels of cohesion are associated with individual benefits of increased job satisfaction, retention, and better discipline outcomes.9 Meta-analysis of group performance and cohesiveness has suggested that, on average, cohesive groups perform better than non-cohesive groups.10 Others note that where observed causal relationships between cohesion and group performance exists, it is more often the performance of the group that affects the level of cohesiveness (i.e., unit success leads to a more cohesive unit) rather than the opposite.11

Recent studies of group cohesion focus on two ways that group cohesion develops.12

- **Social cohesion** is the extent to which group members like each other, prefer to spend their social time together, enjoy each other’s company, and feel emotionally close to one another.
- **Task cohesion** is the shared commitment among members to achieving a goal that requires the collective efforts of a group.

Some behavioral research has found that interpersonal relationships that lead to social cohesion are established more readily between individuals with similar backgrounds, experiences and demographic characteristics.13 In addition, some studies have found that teams with high levels of

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12 The distinction between these two lines of cohesion has become increasingly common in academic literature over the past two decades. These definitions are derived from definitions in Rostker, Bernard D. et al., *Sexual Orientation and U.S. Military Personnel Policy: An Update of RAND’s 1993 Study*, RAND Corporation, Santa Monica, CA, 2010, p. 139.
social cohesion have less conflict\textsuperscript{14} and stronger support networks that may help individuals to better cope with stress.\textsuperscript{15} In the military context, those who argue for more homogenous units\textsuperscript{16} argue that these units develop stronger interpersonal bonds which provide important psychological benefits and bolster unit resiliency when operating in highly stressful and austere environments.\textsuperscript{17} They also argue that “out-group” members—those with different characteristics than the majority of others in the groups—may experience negative individual psychological effects as a result of poor social integration.

Other studies have found shared experiences can contribute to task cohesion and that this type of cohesion is a stronger predictor of group performance than social cohesion.\textsuperscript{18} This leads some to argue that the “sameness” of individuals in a military unit is less important than the shared experiences of the unit. In this regard, some argue that military units that operate in an integrated manner can build task cohesion through integrated training.\textsuperscript{19}

**Diversity and Effectiveness**

Some studies on the effectiveness of small groups have found that the presence of diversity (in particular racial and gender diversity) is associated with better creative problem solving, innovation, and improved decisionmaking.\textsuperscript{20} These positive outcomes are sometimes attributed to the broader range of unique perspectives, knowledge, and experience available in diverse groups relative to homogenous groups. In this regard, those who argue for diversity initiatives in the military argue that a more diverse force has the potential to be more efficient and flexible, able to meet a broader set of challenges.

Other studies have found that within diverse groups individuals with demographically similar characteristics tend to build strong in-group relationships to the detriment of the larger unit.\textsuperscript{21} The presence of demographic “in-groups” has been found in some circumstances to negatively affect group productivity, particularly if active “fault lines” or biases exist between subgroups.\textsuperscript{22}

\begin{flushright} (...continued) \end{flushright}
14 Ibid. p. 181.
16 Over time, this argument has been used to advocate against integrated military units with regard to race, gender, and sexual orientation.
18 Mullen and Copper (1994) and Beat et al.
19 In the past, training pipelines and in some instances units were segregated by race and gender. As of 2015 the Marine Corps has separated men and women for portions of basic training.
who argue against the integration of certain subgroups suggest that there are pervasive cultural biases that contribute to interpersonal friction within military units and could distract from the unit’s ability to perform under stress.

**Diversity Management**

While the direction and magnitude of effects of diversity on group performance remain debatable, there is a wide body of literature that links performance of diverse groups to leadership and management. Among human resource professionals in the public and private sectors, the focus in workforce management has shifted from diversity acquisition (e.g., affirmative action and hiring quotas) to diversity management. Under the previous philosophy, employers set targets for accessions based on race, sex, or other attributes in order to bolster historically under-represented groups. More recent diversity management philosophies focus on building organizational culture and policies to better attract a diverse workforce and to accommodate career development for employees with different backgrounds.

**Diversity and the Civil-Military Relationship**

The military as an organization, also considers the impact of diversity on performance metrics. Given the military’s unique role in society there are additional reasons why DOD might value diversity. In the military, the value of diversity is sometimes discussed in the context of the civil-military (civ-mil) relationship. This relationship is explained by some as a trinity of civilian leadership, civil society, and military service members. Civilian leadership decides how to resource and employ the military. These decisionmakers are influenced by civilian society (their constituents). In an all-volunteer force, recruits are drawn from civil society. Some portion of civil society serves, has served, or is directly affected by those who serve. The strength of the relationship between civil society and those who serve has been tied to the willingness of a society to enter and engage in conflict, to accept advice from military leadership, and to provide resources to military forces. On the flip side, a military leadership that is disconnected from society may question the legitimacy of civilian leadership and decisionmaking in military matters.

In 2015, less than 1% of the American population was serving on active duty, compared to 8.6% in World War II. As a consequence, fewer Americans know someone who is serving or has served. In this regard, some would argue that a diverse force that is representative of the nation is important to build stronger civ-mil relationships across all geographic, socio-economic, and demographic groups.

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26 Ibid., p. 80.

27 Population data is taken from the U.S. Census Bureau. Active duty end strength data was provided by the Department of Defense and the Defense Manpower Data Center.
How Does DOD Define Diversity, Inclusion, and Equal Opportunity?

Diversity, inclusion, and equal-opportunity initiatives often go hand-in-hand in workforce management. Although the three terms are often used interchangeably, there are some key differences in how they are interpreted and applied. While “diversity” is primarily used to discuss the variations in visible and invisible traits among employees in an organization, “inclusion” or “inclusiveness” is typically used to discuss the culture of organizations. An inclusive organization is often described as one with policies that promote respect for differences, enable individual contributions, and instill a sense of organizational belonging. “Equal opportunity” is used in the context of legal protections for individuals or groups of individuals from forms of discrimination in the workplace. Policies that promote diversity and equal opportunity are typically complementary and may build an organizational culture of inclusiveness. In June 2015, DOD revised its policies and definitions for diversity and equal opportunity. DOD’s current polices expand classes of protected individuals covered by the military equal opportunity definition to include sexual orientation.28

Diversity and Inclusion Policy

DOD’s current diversity policies and plans stem from congressional and administration actions between 2008 and 2011. In the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (P.L. 110-417, Section 596), Congress authorized the creation of the Military Leadership Diversity Commission (MLDC). The commission’s charter includes 16 tasks, one of which was to “develop a uniform definition of diversity to be used throughout DOD congruent with the core values and vision of DOD for the future workforce.”29 In 2011, the commission released its final report. In the same year, President Obama issued an Executive Order (EO 13583) calling for a coordinated government-wide initiative to promote diversity and inclusion in the federal workforce.30

In 2012, DOD issued a five-year Diversity and Inclusion Strategic Plan that drew on many of the recommendations from the MLDC report and outlined three overarching goals intended to align with goals in the Government-Wide Diversity and Inclusion Strategic Plan published by the Office of Personnel Management (OPM) (see Table 1).

28 Department of Defense, Diversity Management and Equal Opportunity in the DOD, DODD 1020.02E, June 8, 2015.
Table 1. Diversity Goals for DOD and the Federal Workforce

<table>
<thead>
<tr>
<th>OPM Strategic Goals</th>
<th>DOD Strategic Goals</th>
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<tbody>
<tr>
<td>Workforce Diversity. Federal agencies shall recruit from a diverse, qualified group of potential applicants to secure a high-performing workforce drawn from all segments of society.</td>
<td>Employ an aligned strategic outreach effort to identify, attract, and recruit from a broad talent pool reflective of the best of the nation we serve. Position DOD to be an “employer of choice” that is competitive in attracting and recruiting top talent.</td>
</tr>
<tr>
<td>Workplace Inclusion. Federal agencies shall cultivate a culture that encourages collaboration, flexibility, and fairness to enable individuals to contribute to their full potential and further retention.</td>
<td>Develop, mentor, and retain top talent from across the total force. Establish DOD’s position as an employer of choice by creating a merit-based workforce life-cycle continuum that focuses on personal and professional development through training, education, and developing employment flexibility to retain a highly-skilled workforce.</td>
</tr>
<tr>
<td>Sustainability. Federal agencies shall develop structures and strategies to equip leaders with the ability to manage diversity, be accountable, measure results, refine approaches on the basis of such data, and engender a culture of inclusion.</td>
<td>Ensure leadership commitment to an accountable and sustained diversity effort. Develop structures and strategies to equip leadership with the ability to manage diversity, be accountable, and engender an inclusive work environment that cultivates innovation and optimization within the Department.</td>
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Note: OPM is the U.S. Office of Personnel Management.

The DOD strategic plan placed an emphasis on diversity management over the workforce life-cycle (from recruitment to retirement) and highlighted the role of leadership in establishing an inclusive organizational climate. The plan also established new definitions of diversity and diversity management that apply to both uniformed personnel and DOD civilians:

Diversity\(^{31}\): All the different characteristics and attributes of the DOD’s total force, which are consistent with DOD’s core values, integral to overall readiness and mission accomplishment, and reflective of the Nation we serve.

Diversity Management: The plans made and programs undertaken to identify and promote diversity within the DOD to enhance DOD capabilities and achieve mission readiness.\(^{32}\)

DOD’s definition of diversity encompasses not only demographic characteristics, but also different backgrounds, skills, and experiences. The strategic plan does not outline targets or quotas for the recruitment, retention, or promotion of historically underrepresented demographic groups, nor does it prioritize diversity at the expense of military readiness. While DOD does not establish official diversity targets based on demographic profiles,\(^{33}\) an inherent goal within the current definition is that the characteristics of the force should reflect the demographic characteristics of the U.S. population. Consistent with this, DOD regularly collects and reports on

\(^{31}\) This definition was updated on June 8, 2015.

\(^{32}\) Department of Defense, Diversity Management and Equal Opportunity in the DOD, DODD 1020.02E, June 8, 2015.

\(^{33}\) However, the individual Military Departments have in the past announced recruiting and accession targets. For example, in a speech at the Naval Academy in May 2015 the Secretary of the Navy announced a recruitment goal of 25% women for the Navy and Marine Corps. See Perkins, Derrick, “Mabus: 1 in 4 Marine Recruits Should Be Women,” Marine Corps Times, May 26, 2015.
the demographic profile of the force which can then be compared to the demographic profile of the civilian population.

Military Equal Opportunity Policy

Equal opportunity typically refers to nondiscrimination protections for certain classes of individuals. DOD has civilian and military employees and operates both a Civilian Equal Employment Opportunity (EEO) Program and a Military Equal Opportunity (MEO) Program. Military equal opportunity policies generally follow the precepts set in civilian civil rights law; however, many statutes and regulations that are applicable to civilian employment are not applicable to military service. The sources of uniformed service members’ rights, and restrictions thereon, include the Constitution, statute—including the Uniform Code of Military Justice (UCMJ)—DOD and service-level policies and regulations, and Executive Orders.

Congress has the authority to establish qualifications for and conditions of service in the armed forces. Whereas civilian law prevents discrimination based on age or disability, the military is allowed, and in some cases compelled by law to deny service opportunities to those unable to meet certain physical standards, and those above a certain age. For example, by statute, a commissioned officer may be appointed only if he or she is “able to complete 20 years of active commissioned service before his sixty-second birthday ... is physically qualified for active service ... and has such other special qualifications as the Secretary of the military department concerned may prescribe by regulation.” Likewise, the law specifies persons who are ineligible to enlist.

The Secretary of Defense has the general authority to prescribe policies and regulations for DOD employees, including those regulations pertaining to equal opportunity and nondiscrimination. In DOD’s 2015 revision to its policy, the MEO definition more closely mirrors the civilian EEO definition. Another change in 2015 expanded the protected classes of individuals to prevent unlawful discrimination on the basis of sexual orientation. In announcing this change, Secretary of Defense Ashton Carter stated:

We have to focus relentlessly on the mission, which means the thing that matters most about a person is what they can contribute to it ... we must start from a position of inclusivity, not exclusivity.... Anything less is not just wrong—it’s bad defense policy, and it puts our future strength at risk.

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34 This report focuses only on diversity and equal opportunity policies for uniformed service members and not DOD civilian employees.
37 The President has authority under 10 U.S.C. §531 to appoint officers. This authority is delegated by executive order to the Secretary of Defense.
38 10 U.S.C. §532(a).
Table 2 shows a comparison of DOD’s equal opportunity definitions for civilian employees and military service members.  

<table>
<thead>
<tr>
<th>DOD Civilian Equal Employment Opportunity (EEO) Definition</th>
<th>Military Equal Opportunity (MEO) Definition</th>
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<tr>
<td>The right of all DOD employees to apply, work, and advance on the basis of merit, ability and potential, free from unlawful discrimination based on race, color, national origin, religion, sex (including pregnancy, gender identity, and sexual orientation when based on sex stereotyping), disability, age, genetic information, reprisal, or other unlawful factors.</td>
<td>The right of all Service members to serve, advance, and be evaluated based on only individual merit, fitness, capability, and performance in an environment free from sexual harassment and unlawful discrimination on the basis of race, color, national origin, religion, sex or sexual orientation.</td>
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</tbody>
</table>

Source: Department of Defense, Diversity Management and Equal Opportunity in the DOD, DODD 1020.02E, June 8, 2015.

Notes: DODD 1020.02E states that the civilian EEO program is governed by 42 U.S.C. §§2000e through 2000e-17, part 1614 of Title 29 C.F.R., chapter 14 of 29 U.S.C., and 5 U.S.C. §§2302(b)(1) and 7201.

Discrimination and harassment as described in military issuances include sexual harassment, hazing, intimidation, disparaging remarks, or threats against other service members or civilians based on protected characteristics. Harassment also includes creating an intimidating or hostile work environment for individuals on the basis of protected characteristics. Harassment by military personnel may result in administrative actions (e.g., letters of reprisal, counseling, or poor fitness reports or evaluations) and may also be punishable under the UCMJ.

How Does DOD Manage Diversity and Equal Opportunity?

Diversity management and equal opportunity programs are overseen by DOD and implemented by the military departments. Programmatic components include research and data collection, training, and processes and procedures for military equal opportunity complaint resolution.

Office of Diversity Management and Equal Opportunity (ODMEO)

The Office of Diversity Management and Equal Opportunity (ODMEO) is the DOD organization responsible for promoting diversity in the DOD workforce, and it is overseen by the Office of the Under Secretary of Defense for Personnel and Readiness. It was first established in 1994 as the Office of the Assistant Secretary of Defense for Equal Opportunity. ODMEO has oversight authority for the DOD Diversity and Inclusion Management Program, the DOD Military Equal

(...continued)

June 9, 2015.

42 The previous definition of MEO from DODD, 1020.02, dated February 5, 2009, was: “The right of all military personnel to participate in and benefit from programs and activities for which they are qualified. These programs and activities shall be free from social, personal, or institutional barriers that prevent people from rising to the highest level of responsibility possible.”

43 Harassment may be punishable under the UCMJ, Article 92, “Failure to Obey an Order or Regulation” and Article 15 “Nonjudicial Punishment.” (10 U.S.C. §892) Other punitive articles may also apply depending on the nature of the incident.
Opportunity Program, the DOD Civilian Equal Employment Opportunity Program, and the DOD Civil Rights Program. The Director of ODMEO also provides oversight and guidance to the Defense Equal Opportunity Management Institute (DEOMI). DOD component heads have oversight of MEO programs and are responsible for making required reports to the Secretary of Defense and Congress.44

**Defense Equal Opportunity Management Institute (DEOMI)**

In 1971, DOD established the Defense Race Relations Institute (DRRI) with a mandate to conduct training for Armed Forces personnel designated as instructors in race relations, develop doctrine and curricula in education for race relations, conduct research, perform evaluation of program effectiveness, and disseminate educational guidelines and materials for utilization throughout the Armed Forces.45

In 1979, DRRI became the Defense Equal Opportunity Management Institute as the organization evolved to address not only race, but other diversity and equal opportunity issues in DOD. Today DEOMI offers resident, nonresident and e-learning courses geared toward equal-opportunity advisors, counselors, and program managers across all military departments and components. DEOMI also conducts research to support policy-making and training and development programs, and provides a range of online resources for diversity management and equal opportunity programming.

DEOMI’s Research Directorate administers a survey called the Defense Equal Opportunity Climate Survey (DEOCS). This survey is intended to be a tool for commanders to improve their organizational culture. It measures factors associated with organizational effectiveness, equal opportunity/fair treatment, perceptions of sexual harassment, and sexual assault prevention and response (see Table 3). The DEOCS may be administered to any DOD agency and is used for both uniformed military personnel and civilian employees.

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44 Section 579(b) of the National Defense Authorization Act (NDAA) for Fiscal Year 2013 required the Secretary of Defense to report on substantiated incidents of sexual harassment that involve members of the Armed Forces including identifying cases in which a member is accused of multiple incidents of sexual harassment.

Table 3. Key Factors Measured in DEOCS

<table>
<thead>
<tr>
<th>Organizational Effectiveness</th>
<th>Equal Opportunity/ Fair Treatment</th>
<th>Sexual Assault Prevention and Response (SAPR)</th>
</tr>
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<tbody>
<tr>
<td>• Organizational Commitment</td>
<td>• Favoritism</td>
<td>• Safety</td>
</tr>
<tr>
<td>• Trust in the Leadership</td>
<td>• Hazing</td>
<td>• Bystander Intervention</td>
</tr>
<tr>
<td>• Organizational Performance</td>
<td>• Demeaning Behaviors</td>
<td>• Training Quality</td>
</tr>
<tr>
<td>• Organizational Cohesion</td>
<td>• Sexist Behaviors</td>
<td>• Information/Resource Availability</td>
</tr>
<tr>
<td>• Leadership Cohesion</td>
<td>• Racist Behaviors</td>
<td>• Adequate Response</td>
</tr>
<tr>
<td>• Job Satisfaction</td>
<td>• Racial Discrimination</td>
<td>• Retaliation</td>
</tr>
<tr>
<td>• Diversity Management</td>
<td>• Sex Discrimination</td>
<td></td>
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<tr>
<td>• Organizational Processes</td>
<td>• Sexual Harassment</td>
<td></td>
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<tr>
<td>• Help Seeking Behaviors</td>
<td>• Religious Discrimination</td>
<td></td>
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<tr>
<td>• Exhaustion/Burnout</td>
<td>• Civilian Only:</td>
<td></td>
</tr>
<tr>
<td>• Intention to Stay</td>
<td>• Age Discrimination</td>
<td></td>
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<tr>
<td></td>
<td>• Disability Discrimination</td>
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<tr>
<td></td>
<td>• Equal Pay</td>
<td></td>
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<tr>
<td></td>
<td>• Genetic Information</td>
<td></td>
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<tr>
<td></td>
<td>• Pregnancy</td>
<td></td>
</tr>
</tbody>
</table>

Source: DOD Sample DEOCS 4.0 Survey, DEOMI, January 1, 2014.

Note: The survey also allows for the addition of locally developed questions and allows respondents to provide written comments directly associated with discrimination/sexual harassment/SAPR.

The DEOCS is used at the unit level to establish a baseline assessment of the command climate and subsequent surveys are intended to track progress relative to the baseline. In recent years there has been a series of legislative initiatives that have enhanced requirements for the administration of command climate surveys. Many of these changes have been in response to growing concerns about command responses to sexual harassment/assault reports.

Military Departments

In practice, the military departments manage their own diversity programs and initiatives. MEO training, prevention, complaints, and resolutions are handled at the unit level through the chain of command. It is the commander’s responsibility to establish a climate of inclusiveness and equal opportunity. Accountability for senior leaders is achieved through command climate assessments (DEOCs) and evaluations of character, and organizational climate/equal opportunity on performance evaluations. For example, “character” is rated on senior enlisted evaluations for E7-E9’s in the Navy. A “Greatly Exceeds Standards” rating for character requires that the individual “seamlessly integrate diversity into all aspects of the command,” while a “Below Standards” rating describes the individual as “demonstrates exclusionary behavior, fails to value differences from cultural diversity.”

See for example, Air Force Instruction 1-2, Commander’s Responsibilities, AFI 1-2, May 8, 2014

Department of the Navy, Navy Performance Evaluation System. BUPERSINST 1610.10C, April 20, 2011.
How Have the Definition and Treatment of Protected Classes Evolved in the Armed Forces?

DOD’s current definition of Military Equal Opportunity protects service members from unlawful discrimination on the basis of race, color, national origin, religion, sex or sexual orientation. However, throughout the history of the Armed Forces, these currently protected classes have been excluded to varying degrees from military participation and occupational assignments by policy and statute. The history of integration in the military is detailed and often dependent on the political, social, and cultural context of the time. This section describes selected policy and statute changes made by Congress and the military that affected the treatment of various demographic groups over time. The following sections will also provide a snapshot of the current demographic profile of the Armed Forces.

Racial/Ethnic Inclusion; Background and Force Profile

Racial minorities have volunteered or been drafted for service since the time of the American Revolution; however, the military was a racially segregated institution until the mid-20th century. Under the widely accepted “separate but equal” philosophy of the time, these segregation policies were not considered to be unjust by many senior military and government officials. Even as policy and statute changed over time to remove occupational and assignment barriers to racial/ethnic minorities, concerns about discrimination and equal opportunity have persisted.

The Civil War to World War II, Racial Segregation

The recruitment of racial minorities into the service through most of the 1800s and 1900s was not driven by a desire for a “diverse force”, but instead was driven by practical manpower requirements. During the Battle of New Orleans during the War of 1812, General Andrew Jackson’s Army included both “Free Men of Color”, and Choctaw Native Americans. During the Civil War, approximately 186,000 black Americans served in the Union Army as part of sixteen segregated combat regiments, and some 30,000 served in the Union Navy. Following the Civil War, as part of what is commonly known as the Army Reorganization Act of 1866, Congress authorized the creation of permanent “colored” units consisting of two cavalry and four infantry regiments. The act also authorized the recruitment and enlistment of 1,000 Native Americans to act as scouts. While the creation of these units guaranteed career opportunities for specific racial minorities, it also introduced an era of institutionalized segregation in the armed services.

In 1896, the Supreme Court case of Plessy v. Ferguson upheld state laws authorizing racial segregation under a “separate but equal” doctrine. Following the ruling, states proceeded to enact a series of segregation-based laws and the military services also began more active
implementation of racial segregation policies. Due to pressing needs for additional manpower in the Army, black soldiers made up approximately 11% of the Army’s total strength in World War I and 13% of all those conscripted (in racially separate “white” and “colored” draft calls).\(^{51}\) Hispanics, Native Americans, and Asian Americans were mostly drafted as “whites”.\(^{52}\) While black soldiers in the Army were often directed towards unskilled jobs, many also served as frontline combat troops.

During World War II, the War Department again issued separate draft calls for black and white service members and maintained segregated training and unit assignments. The Army upheld a quota policy for the recruitment of black soldiers with a ceiling of 10% of total recruits.\(^{53}\) In this era, the distribution of white and black service members between the officer and enlisted ranks and occupational specialties suggested some inequities in accession and assignment policies. In 1941, black soldiers in the Army accounted for 5% of the Infantry and less than 2% of the Air Corps, whereas they accounted for 15% of the less-prestigious Quartermaster Corps\(^{54}\) and 27% of unassigned or miscellaneous detachments.\(^{55}\) About 2% of the Navy was black, and with the exception of six men rated as regular seaman, all of them were enlisted steward’s mates. None were officers.\(^{56}\) At peak WWII manpower strength in 1945, black service members accounted for 7.2% of the total military force but only 0.6% of the officer corps.\(^{57}\) Army practices did not allow black officers to outrank or command white officers in the same unit, and some commanders preferred to assign white officers for command of black units.\(^{58}\)

Although Asian-Americans had served in previous conflicts, during WWII there was confusion in some states as to how to treat draftees of Asian ethnicity, and Chinese surnames appeared on both black and white draft lists.\(^{59}\) It is estimated that approximately 20,000 Chinese Americans served in the Armed Forces during the war.\(^{60}\) Given Japan’s role in the war there was a general public suspicion of Japanese Americans and some already serving in the military were removed from active duty or discharged.\(^{61}\) However, approximately 6,000 Nisei (first-generation, American-born

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\(^{52}\) There was confusion as to whether these other minorities should be classified as “white” or “colored” and some may have appeared on either of the draft calls depending on local interpretations. Shenk, Gerald E., “Work or Fight!” *Race, Gender, and the Draft in World War One*, New York, New York, 2005.


\(^{54}\) Quartermaster functions included food service, laundry, and other logistical services.


\(^{57}\) The Report of the President’s Committee on Civil Rights, *To Secure These Rights*, October 1947. p. 44.


Japanese) served as interpreters or linguists in the war with about 3,700 serving in combat.\(^{62}\) In addition, the Army formed a segregated unit comprised of about 4,500 Japanese-Americans within the 442d Regimental Combat Team (RCT) that fought in Italy and Central Europe.\(^{63}\)

**Desegregation in the Truman Era**

In December 1946, in response to what was seen as a worrisome increase in racial violence and tension across the United States, President Harry Truman issued an Executive Order establishing the President’s Committee on Civil Rights.\(^{64}\) The commission’s mandate was to examine civil rights for all citizens; however, they did make certain recommendations for the military services. The commission’s report, *To Secure These Rights*, noted that blacks and other minority service members faced many barriers to equal treatment both within and outside the military. The commission advocated for full racial integration within the military, a ban on discrimination based on race or color, and award of commissions and promotions based solely on merit.

In 1948, during his campaign for reelection, President Truman issued Executive Order 9981, which set in motion a purposeful desegregation effort.\(^{65}\)

> It is hereby declared to be the policy of the President that there shall be equality of treatment and opportunity for all persons in the armed services without regard to race, color, religion or national origin.\(^{66}\) This policy shall be put into effect as rapidly as possible, having due regard to the time required to effectuate any necessary changes without impairing efficiency or morale.

The order also established the President’s Committee on Equality of Treatment and Opportunity in the Armed Forces under chairmanship of Charles Fahy to understand the potential impact of integration on military efficiency. During the commission’s inquiry, some military leaders advocated for maintaining the status quo due to concerns about inefficiencies that might arise from “impaired morale in mixed units.”\(^{67}\) The Fahy Committee’s final report, released in 1950, expressed serious doubts about military officials’ claims that integration would negatively affect morale and efficiency, finding instead evidence that existing segregation policies were contributing to inefficiencies through unfilled billets, training backlogs, and less capable units. In their conclusion, the Committee stated:

> As a result of its examination into the rules, procedures, and practices of the armed services, both past and present, the Committee is convinced that a policy of equality of treatment and opportunity will make for a better Army, Navy, and Air Force. It is right and just. It will strengthen the nation.\(^{68}\)

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\(^{63}\) Ibid.

\(^{64}\) Executive Order 9808, “Establishing the President’s Commission on Civil Rights,” December 5, 1946.

\(^{65}\) Executive Order 9981, “Establishing the President’s Committee on Equality of Treatment and Opportunity in the Armed Forces,” July 26, 1948.

\(^{66}\) At this time the policy did not mention discrimination based on gender.


Between 1949 and 1950 the military departments changed their policies regarding minority races to reflect the recommendations of the Fahy Commission and to echo the language of President Truman’s Executive Order that there should be “equality of treatment and opportunity for all persons ... without regard to race, color, religion, or national origin.” By this time, Asian-Americans were no longer serving in segregated units; however, black units were still segregated.

The manpower needs of the Korean War (1950-1953) catalyzed racial integration in the services. Under pressure to rapidly build up and deploy forces, the Army lacked the time and resources to continue to operate separate training pipelines for black and white soldiers. On the battlefield, Army and Marine Corps commanders began assigning black soldiers to replace losses in white combat units by necessity. However, senior leaders in the Army were reluctant to change official policies, as stated by Army Lt. General Edward M. Almond in opposition to changes:

I do not agree that integration improves military efficiency; I believe it weakens it. I believe that integration was and is a political solution for the composition of our military forces because those responsible for the procedures ... do not understand the characteristics of the two human elements concerned... This is not racism—it is common sense and understanding. Those who ignore these differences merely interfere with the combat effectiveness of combat units.

In response to political pressures for change the Army initiated two scientific research studies of the performance of integrated units; one conducted internally by the Army G-1, and one through a contracted agency that was code named “Project Clear.” Both studies concluded that contrary to widely held beliefs, unit performance was not negatively affected by integration, and that the practice of segregating units was in fact damaging to military effectiveness.

The Army dropped its 10% ceiling on the recruitment of black soldiers in 1950, and by 1953 basic training and unit assignments were no longer segregated.

Civil Rights Movement and Anti-Discrimination Policies

While DOD had announced the full integration of the active duty military in 1954, segregation was still widespread in National Guard and Reserve units and discrimination on military installations and in surrounding communities persisted. In 1962, President John F. Kennedy authorized the President’s Committee on Equal Opportunity in the Armed Forces to be chaired by

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69 The Navy and Marine Corps’ policy was issued on June 23, 1949, the Air Force policy was issued on May 11, 1949, and the Army policy was issued on January 16, 1950.
72 In October 1953, the Army announced that 95% of African-American soldiers were serving in integrated units.
73 However, black Marines were still restricted in certain assignments until 1962. MacGregor, Morris, J. Jr., Integration of the Armed Forces 1940-1965 (Washington, DC: Center of Military History, 1979), p. 468.
74 Until 1963 there were 10 states with large black populations and no black soldiers in their National Guard units and the Army’s Reserve maintained six all-black units. MacGregor, Morris, J. Jr., Integration of the Armed Forces 1940-1965 (Washington, DC: Center of Military History, 1979), pp. 518 and 553.
75 It wasn’t until 1964 the National Guard Bureau announced the integration of all States’ National Guard.
Gerhard A. Gesell. The commission was the first to address equal opportunity in the forces since the Fahy Commission in 1950; its mandate was to determine the following.

1. What measures should be taken to improve the effectiveness of current policies and procedures in the Armed Forces with regard to equality of treatment and opportunity for persons in the Armed Forces?

2. What measures should be employed to improve equality of opportunity for members of the Armed Forces and their dependents in the civilian community, particularly with respect to housing, education, transportation, recreational facilities, community events, programs and activities?76

The commission found that while armed services policies were not discriminatory as written, there was a need for the military to improve recruitment, assignment, and promotion practices to achieve equal treatment of black service members.77 In addition, the commission noted particular hardships imposed on black service members and their families when assigned to or transferred to installations in communities with high levels of segregation and discrimination in education, housing, transportation, and employment. For example, one-fourth of all Army and Navy installations were located in communities with segregated public schools.78 The commission noted that black service members and their families were daily suffering humiliation and degradation in communities near bases at which they are compelled to serve ... community conditions are a constant affront and constant reminder that the society they are prepared to defend is a society that depreciates their rights to full participation as citizens.79

The commission’s finding suggested that base commanders were not taking an aggressive role in identifying and addressing racial discrimination on base and within the communities. Some of the key recommendations of the commission were as follows.

- Expand and clarify the installation commander’s role with respect to discrimination in the community.
- Develop mechanisms to tie an officer’s performance ratings to their ability to establish a climate of equal opportunity.
- Initiate mandatory command training programs on discrimination and equal opportunity.
- Build biracial community working groups.
- Allow installation commanders to impose economic sanctions (boycotts/bans) on local businesses by prohibiting service members from patronizing establishments that were racially discriminatory.80

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77 The commission found that promotion boards were made up of primarily white officers, and black officers made up a very small percentage of the total officer corps. The President’s Committee on Equal Opportunity in the Armed Forces, Equality of Treatment and Opportunity for Negro Military Personnel Stationed Within the United States, June 13, 1963.

78 The military traditionally did not offer on-base schools, but where it was available it was desegregated. The President’s Committee on Equal Opportunity in the Armed Forces, Equality of Treatment and Opportunity for Negro Military Personnel Stationed Within the United States, Initial Report, June 13, 1963.

79 The President’s Committee on Equal Opportunity in the Armed Forces, Equality of Treatment and Opportunity for Negro Military Personnel Stationed Within the United States, June 13, 1963

80 This was one of the commission’s most controversial recommendations and was seen by some critics as a threat to (continued...)
• Establish equal opportunity offices and appoint officials for each of the military departments.

In response to the commission’s recommendation, then-Secretary of Defense Robert McNamara\(^81\) issued a new DOD policy in July 1963 to

conduct all of its [DOD’s] activities in a manner which is free from racial discrimination,
and which provides equal opportunity for all uniformed members and all civilian employees irrespective of their color.\(^82\)

One year after the DOD’s policy issuance, the federal government passed the Civil Rights Act of 1964\(^83\) that outlawed discrimination or segregation on the grounds of race, color, religion, sex, or national origin.\(^84\) DOD responded by issuing a new policy that prescribed policies and procedures for processing service member requests for legal action under the new law in cases of discrimination faced off-base.\(^85\)

The Vietnam War and Efforts to Improve Race Relations

Despite the DOD’s new policy in response to the Gesell Commission recommendations, the 1960s brought an era of conflict abroad and social unrest at home. In 1965 the U.S. deployed combat troops to Vietnam. While in previous wars, recruitment of blacks was limited by quotas or segregation policies, during Vietnam there was a perception that blacks were disproportionally drafted, sent to Vietnam, assigned to serve in high-risk/high-casualty combat units, and being killed or wounded in battle.\(^86\) Feeding this perception were DOD statistics reported by the media indicating that between 1961 and 1966, blacks composed approximately 11% of the general population but accounted for nearly one-fourth of all enlisted Army personnel losses in Vietnam.\(^87\) In addition, the final report of the National Advisory Commission on Selective Service\(^88\) noted...
that in October 1966 only 1.3% of local draft board members were black and state draft boards had zero black members. One of the recommendations of the commission was that local draft boards should “represent all elements of the public they serve.”

Studies following the Vietnam era have found little evidence of widespread institutional racism in draft and casualty statistics. As shown in Table 4, by the end of U.S. military involvement in the war in 1973 total black fatalities were approximately 12.4% of the total casualties. In that year, black service members accounted for 18.4% of the active component Army enlisted corps and 16.9% of Marine Corps enlisted. Where studies have found bias in draft deferments and inductions is on socio-economic factors.

<table>
<thead>
<tr>
<th>Race</th>
<th>Number of Fatalities</th>
<th>Percent of Total Fatalities</th>
</tr>
</thead>
<tbody>
<tr>
<td>White</td>
<td>49,830</td>
<td>85.5%</td>
</tr>
<tr>
<td>Black or African American</td>
<td>7,243</td>
<td>12.4%</td>
</tr>
<tr>
<td>Other</td>
<td>1,147</td>
<td>2.0%</td>
</tr>
<tr>
<td>Total</td>
<td>58,220</td>
<td>100%</td>
</tr>
</tbody>
</table>


**Notes:** Figures include those who died as a result of the Vietnam War and include deaths between June 8, 1956 and May 28, 2006. The “Other” category under race includes Asian, American Indian/Alaska Native, Native Hawaiian or Other Pacific Islander, Hispanic (one race), and Non-Hispanic (more than one race).

Between 1968 and 1970, perceived patterns of racial discrimination in both the military and surrounding communities contributed to an uptick in recorded violent incidents at military installations in the U.S. and overseas. DOD ultimately was compelled to act after a 1971 incident at Travis Air Force Base, California, where an altercation in the barracks between a black Airman and a white Airman escalated into riots that ended in 135 arrests, 10 injuries, a death of a civilian firefighter and significant property damage. In 1971, in response to the incident at Travis AFB and the recommendations of an inter-service task force on racial relations, DOD established the Race Relations Education Board, required race relations training for all service members, and opened the Defense Race Relations Institute (DRRI) on Patrick Air Force Base, Florida.

On April 5, 1972, following concerns about discrimination in the military justice system, then-Secretary of Defense Melvin R. Laird established the Task Force on the Administration of Military Justice in the Armed Forces to

- identify the nature and extent of racial discrimination in the administration of military justice;

(...continued)

When Not All Serve?”, GPO, February 1967.


• identify and assess the impact of factors contributing to disparity in punishment rates between racially identifiable groups;
• identify and assess racial patterns or practices in initiation of charges against individuals; and
• recommend changes to enhance equal opportunity for service members.93

The Task Force found evidence of both intentional and unintentional discrimination toward racial minorities in the military justice system stating,

The Task Force believes that the military system does discriminate against its members on the basis of race and ethnic background. The discrimination is sometimes purposive; more often, it is not. Indeed, it often occurs against the dictates not only of policy but in the face of determined efforts of commanders, staff personnel and dedicated service men and women.94

The report proposed enactment of a specific legislative provision in the UCMJ to ban discrimination. However, this recommendation was not adopted and the UCMJ does not currently have any specific provision banning discrimination. The adoption of new anti-discrimination policies, programs and protections along with the advent of the All-Volunteer Force in 1973 helped to alleviate some of the racial tensions that had plagued the Armed Forces for the better part of the 20th Century. Despite great strides in racial equality and nondiscrimination, some concerns about the treatment of and opportunities for racial minorities have persisted into the 21st century.95

Is the Racial/Ethnic Profile of the Military Representative of the Nation?

For over 50 years DOD has not prohibited qualified U.S. citizens of different races or ethnicity from serving in any occupation in the military. Recent concerns by DOD and others have focused on whether the racial/ethnic composition of the military is representative of the broader society. According to data from the Defense Manpower Data Center, in 2015, the enlisted corps was more racially diverse than the U.S. resident population with nonwhite service members accounting for roughly one-third of all active duty enlisted and nearly 40% of all senior enlisted (see Table 5). Among enlisted minority groups in the active and reserve components, black service members are overrepresented and Asians and Hispanics are underrepresented relative to the U.S. population. Propensity to enlist has, in the past, varied by racial/ethnic group. Between 2004 and 2010 as reported by youth surveys, Hispanic youth (male and female) had a higher average aided propensity96 for enlistment than their white or black counterparts.97

96 Aided propensity is in response to the question, “In the next few years, how likely is it that you will be serving in any of the following Military Services?”
97 This is according the most current publicly available data on youth propensity as reported in Department of Defense, Youth Poll Wave 20–December 2010 Overview Report, JAMRS 2011-05, September 2011, http://jamrs.defense.gov/Portals/20/Documents/Youth_Poll_20.pdf.
Table 5. Racial Representation in the Active Duty and Selected Reserve and U.S. Population

<table>
<thead>
<tr>
<th>Rank and Grade</th>
<th>White</th>
<th>Black</th>
<th>Asian</th>
<th>Other</th>
<th>Multi/Unknown</th>
<th>Hispanic*</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Active Duty</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>General/Flag Officer (O-7 and above)</td>
<td>88.9%</td>
<td>7.2%</td>
<td>2.0%</td>
<td>1.3%</td>
<td>1.5%</td>
<td>1.7%</td>
</tr>
<tr>
<td>Officer (all)</td>
<td>78.5%</td>
<td>8.6%</td>
<td>4.6%</td>
<td>1.0%</td>
<td>7.2%</td>
<td>5.9%</td>
</tr>
<tr>
<td>Enlisted (E-7 and above)</td>
<td>61.7%</td>
<td>21.7%</td>
<td>3.6%</td>
<td>2.0%</td>
<td>10.9%</td>
<td>12.3%</td>
</tr>
<tr>
<td>Enlisted</td>
<td>66.9%</td>
<td>18.3%</td>
<td>3.8%</td>
<td>2.3%</td>
<td>7.4%</td>
<td>13.4%</td>
</tr>
<tr>
<td><strong>Total Active Duty</strong></td>
<td>68.8%</td>
<td>17.3%</td>
<td>4.1%</td>
<td>2.5%</td>
<td>7.4%</td>
<td>12.1%</td>
</tr>
<tr>
<td><strong>Selected Reserve</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>General/Flag Officer (O-7 and above)</td>
<td>88.9%</td>
<td>5.9%</td>
<td>2.1%</td>
<td>0.3%</td>
<td>2.8%</td>
<td>3.4%</td>
</tr>
<tr>
<td>Officer (all)</td>
<td>79.4%</td>
<td>9.9%</td>
<td>4.0%</td>
<td>1.0%</td>
<td>5.7%</td>
<td>5.5%</td>
</tr>
<tr>
<td>Enlisted (E-7 and above)</td>
<td>75.3%</td>
<td>15.5%</td>
<td>2.2%</td>
<td>1.2%</td>
<td>5.8%</td>
<td>9.0%</td>
</tr>
<tr>
<td>Enlisted</td>
<td>73.3%</td>
<td>17.5%</td>
<td>3.5%</td>
<td>1.6%</td>
<td>4.0%</td>
<td>11.7%</td>
</tr>
<tr>
<td><strong>Total Selected Reserve</strong></td>
<td>74.3%</td>
<td>16.3%</td>
<td>3.5%</td>
<td>1.5%</td>
<td>4.1%</td>
<td>10.8%</td>
</tr>
<tr>
<td><strong>U.S. Resident Population (age 18-64)</strong></td>
<td>78.4%</td>
<td>13.1%</td>
<td>5.3%</td>
<td>1.4%</td>
<td>1.7%</td>
<td>15.7%</td>
</tr>
</tbody>
</table>

**Sources:** Officer and Enlisted figures are as reported by the Defense Manpower Data Center, February 2015. Annual Estimates of the Resident Population by Sex, Age, Race, and Hispanic Origin for the United States, States, and Counties: April 1, 2010, to July 1, 2014, U.S. Census Bureau, Population Division, Release Date: June 2015.

**Notes:** Race and Hispanic origin are self-identified. The concept of race is separate from the concept of Hispanic origin. *Hispanic may be more than one race (e.g., Hispanic and White or Hispanic and Black). Percentages for race add up to 100% and should not be combined with percent Hispanic. The “other” category includes Native Hawaiian and Other Pacific Islanders, and American Indian and Alaskan Natives.

However, in the officer corps, and especially at the senior leadership level, racial and ethnic minorities are underrepresented relative to the enlisted corps and the U.S. population. For example, those of Hispanic origin account for about 16% of the population and 13% of the active duty enlisted corps. Hispanic service members account for 6% of the officer corps and less than 2% of General/Flag officers. It is important to note, when considering the demographic makeup of the officer corps, there are certain requirements that must be met to become a commissioned officer. For example, the attainment of a bachelor’s degree or higher is a requirement for the appointment and advancement of most officers. Looking again at the Hispanic population, the percent of Hispanics in the officer corps is closer in terms of percentage of the pool of eligible officer candidates by educational attainment. While, those of Hispanic origin account for nearly 16% of the U.S. population, they account for 7% of all post-secondary degree holders (see Table 6).

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98 The term Hispanic broadly refers to peoples, nations, and cultures that have a historical link to Spain as expressed through language or tradition.
### Table 6. Racial/Ethnic Representation Among Post-secondary Degree Holders

<table>
<thead>
<tr>
<th>Race/Ethnic Origin</th>
<th>U.S. Population (age 18-64)</th>
<th>% of Total Active Duty Officer Corps</th>
<th>% of Post-secondary Degree Holders (18 years and above)</th>
</tr>
</thead>
<tbody>
<tr>
<td>White</td>
<td>78.4%</td>
<td>78.5%</td>
<td>80.6%</td>
</tr>
<tr>
<td>Black</td>
<td>13.1%</td>
<td>8.6%</td>
<td>8.2%</td>
</tr>
<tr>
<td>Asian</td>
<td>5.3%</td>
<td>4.6%</td>
<td>9.2%</td>
</tr>
<tr>
<td>Hispanic*</td>
<td>15.7%</td>
<td>5.9%</td>
<td>6.9%</td>
</tr>
</tbody>
</table>


**Notes:** Degree holders include Bachelor’s, Master’s, Professional, or Doctoral Degree.

*The concept of race is separate from the concept of Hispanic origin, Hispanic may be more than one race (e.g., Hispanic and White or Hispanic and Black).

Attaining the highest officer rankings (Admiral or General Officer) requires that individuals be competitively selected for promotion when eligible or “in zone” at different stages of their careers. 99 A 2014 study of Air Force promotion rates found no evidence of differential rates of promotion by race/ethnicity for approximately 93% of the cases observed, suggesting overall fairness in the promotion system. 100 However, where disparities existed, whites had more favorable promotion outcomes than African Americans or Hispanics with similar characteristics. 101 The authors of the study found that career success is cumulative and that racial and ethnic minority officers, on average, were less likely to have achieved the early career milestones that are correlated with improved promotion prospects. 102

Other potential factors in racial diversity among senior military leaders are career field preferences and career field assignment policies. In a 2009 study of assignments and preferences of Army Reserve Officer Training Corps (ROTC) cadets, researchers found that African American cadets tend to prefer Combat Service Support branches whereas white cadets tended to gravitate towards Combat Arms branches. 103 Other studies have noted that racial minorities, particularly African Americans, are also underrepresented in Special Operations Forces (SOF) relative to their source population. For example, a 1999 RAND study found that black service members were particularly underrepresented compared to the source population. 104

99 The officer promotion system was established in 1980 through the Defense Officer Personnel Management Act (DOPMA) (P.L. 96-513).

100 The study did not address promotion rates for the other military departments.


102 Ibid., p. 48. For example, officers with higher military order of merit upon graduation from their commissioning program may get the opportunity to select career fields and assignments ahead of their peers.

103 Lim, Nelson et al., *Officer Classification and the Future Diversity Among Senior Military Leaders; A Case Study of the Army ROTC*, RAND Corporation, Santa Monica, CA, 2009, p. xii.

104 Harrell, Margaret C. et al., *Barriers to Minority Participation in Special Operations Forces*, RAND Corporation, Santa Monica, CA, 1999. The reasons cited by this report included structural and perceptual barriers that impede minority members from joining.
Battery cutoff scores) and perceptual barriers (e.g., perceived racism, lack of knowledge/support in minority community for SOF careers, minority preferences for occupations with less risk or more civilian job transferability).  

Inclusion of Women, Background and Force Profile

As with racial and ethnic groups, women have played a role in supporting and serving in the U.S. Armed Forces since the Revolutionary War. However, laws and policies regarding how many women may serve, their authorized benefits, and types of assignments have changed over the history of women’s service. While the ceiling on the percentage of women allowed to serve in the military was repealed in 1967, women continued to be prohibited from serving in many occupations by statute and policy—particularly those occupations related to combat arms specialties. In 1993, all laws prohibiting females from serving in any occupation were repealed; however, by DOD policy, women were still excluded from serving in units or occupations involved in direct ground combat. In 2013 the DOD rescinded the Direct Ground Combat and Assignment Rule, which had excluded women from assignment to units below the brigade level whose primary mission was to engage in direct combat on the ground. This rule had the effect of prohibiting women from assignments to certain combat arms occupations and units (e.g., infantry) and its removal was the last major policy barrier to women’s service in all occupational fields. The services were required to fully implement this change no later than January 1, 2016; however, they were allowed to request a waiver from the Secretary of Defense for further exclusion of women from certain positions.  

Women’s Participation in World War I and World War II

The first uniformed women served in the Army Nurse Corps (established in 1901) and the Navy Nurse Corps (1908). Both Army and Navy nurses served abroad during WWI in field hospitals, mobile units, evacuation camps, and convalescent hospitals as well as on troop transports. However, women who served in the Army and Navy Nurse Corps were not eligible for retirement or veteran’s benefits. During World War I, under the Naval Act of 1916 which authorized the Navy to enlist “citizens,” the Navy Department enlisted approximately 13,000 women for service in the Navy and Marine Corps in clerical occupations. These enlisted women were eligible for the same pay and benefits as their male counterparts. While women served in the Army Nurse Corps, the Army did not officially enlist any women in the regular service.  

Before World War II, traditional attitudes towards women’s roles in society and the military as a masculine organization were prevalent and thus there was little public interest in permanently integrating females into other occupations in the Armed Forces. In 1928, Major Everett S. Hughes, the chief Army planner for the development of the women’s corps suggested that given shifting technology and rapid industrialization, women would inevitably play a role in future combat, and as such they should be “indoctrinated” into the Army’s culture and processes. He

105 Ibid., p. xv.
106 P.L. 90-130; 81 Stat. 374; November 8, 1967. The ceiling was 2% enlisted women and 10% officers.
107 For more information on women in combat, please see CRS Report R42075, Women in Combat: Issues for Congress, by Kristy N. Kamarck.
108 See http://chnm.gmu.edu/courses/rr/s01/cw/students/leeann/historyandcollections/history/lnmrewwinurses.html.
109 The act did not specify male citizens, thus allowing a loophole for enlisting women.
111 Women in Military Service for America Memorial Foundation, History and Collections.
also argued that separate structures for women and men would be inefficient, and that women should be afforded similar uniforms, ranks, and privileges.\textsuperscript{112} Hughes’ recommendations and planning efforts were put aside by senior officials and not adopted.

In 1941, then-Representative Edith Nourse Rogers introduced legislation that would have provided for a women’s auxiliary to the Army. However, the bill did not move forward until after the attack on Pearl Harbor. On May 15, 1942, Congress approved the temporary establishment of a Women’s Army Auxiliary Corps (WAAC), which then became the Women’s Army Corps (WAC) in 1943.\textsuperscript{113} In 1942 the Navy WAVES (Women Accepted for Volunteer Emergency Service), Coast Guard SPARs (Semper Paratus Always Ready), and Marine Corps (Marines) also established female divisions.\textsuperscript{114} Occupational roles held by women during the war expanded from nursing and clerical positions to include airplane mechanics, air traffic controllers, instructors and other specializations with the exception of direct combat roles. Women also served for the first time as pilots of military fighter, bomber, transport, and training aircraft as part of a specialized paramilitary program called Women Airforce Service Pilots (WASP). The women who served with the WASPs were not officially part of the armed services and were not afforded military benefits or given veteran status until 1977.\textsuperscript{115} During WWII, over 350,000 women served in the Armed Forces.\textsuperscript{116}

Post-WWII and the Women’s Armed Services Integration Act

Following World War II, Congress made women a permanent part of the military services with the Women’s Armed Services Integration Act of 1948.\textsuperscript{117} This legislation excluded women from combat ships and aircraft and limited the proportion of women (as proposed by the Pentagon) to 2% of the enlisted force and 10% of officers.\textsuperscript{118} While racial quotas during the same era were linked to the percentage of blacks in the U.S. population, there was not a clear basis for the ceiling on females who at the time accounted for nearly 30% of the civilian workforce. In addition, while the law allowed for permanent integration of women into the services it did not allow for equal treatment. Some of the limitations on women’s service included

- women required parental consent for enlistment under the age of 21 (age of consent was 18 for males);
- women could not hold a permanent rank above lieutenant colonel/commander (O-5);

\begin{itemize}
\item \textsuperscript{113} P.L. 77-554.
\item \textsuperscript{114} While many expected the Marine Corps to develop a catchy name or acronym, the Marine Corps Commandant at the time, General Thomas Holcomb, told \textit{Life} magazine “They are Marines. They don’t have a nickname and they don’t need one. They get their basic training in a Marine atmosphere at a Marine post. They inherit the traditions of Marines. They are Marines.” See http://chnm.gmu.edu/courses/trs01/cw/students/leacn/historyandcollections/history/lrmrrewiimar.html.
\item \textsuperscript{115} P.L. 95-202, GI Bill Improvement Act of 1977. In 2009, Congress further recognized the WASPS by awarding a Congressional Gold Medal (P.L. 111-40).
\item \textsuperscript{117} P.L. 625; 62 Stat. 356; June 12, 1948.
\item \textsuperscript{118} The 10% cap excluded nurses. P.L. 625; 62 Stat. 356; June 12, 1948: “Women’s Armed Services Integration Act of 1948.”
\end{itemize}
male spouses had to demonstrate dependency in order for women to receive dependent’s benefits and women had to be the primary source of support for her children to be considered dependents.\textsuperscript{119}

In 1951, then-Secretary of Defense George C. Marshall established the Defense Advisory Committee on Women in the Services (DACOWITS)\textsuperscript{120} to serve as a board of civilian advisors to DOD on matters relating to the recruitment and retention, treatment, employment, integration, and well-being of women in the Armed Forces.

**Equal Rights Movement and an All-Volunteer Force**

The 1960s and 1970s brought a rapid increase in the integration of women into the forces, due in part to the equal rights movement and the shift to an All-Volunteer Force (AVF) in 1973. The equal rights movement added momentum to efforts to eliminate discriminatory treatment of women in the armed forces. As with racial integration, some saw integration of women in the military as a social catalyst for gender equity in the civilian sector. Some suggested that as females proved their abilities in military service, civilian employers would be “hard-pressed to deny jobs to women solely on the basis of their sex.”\textsuperscript{121}

A number of key pieces of legislation concerning gender discrimination in the civilian workforce were passed between 1963 and 1980, including the Equal Pay Act of 1963\textsuperscript{122}, banning pay discrimination, and the Civil Rights Act of 1964, banning workplace discrimination based on a number of characteristics, including sex.\textsuperscript{123} In 1967, Congress amended Titles 10, 32, and 37 of United States Code to remove restrictions on the careers of female officers. This legislation removed the limit on the percentage of women in the military, applied the same promotion rules to women as men, and counted service as a nurse in the armed services as creditable commissioned service.\textsuperscript{124} The first women Brigadier Generals for the Army (WAC), Elizabeth P. Hoisington and Anna Mae Hays, were appointed in 1970.\textsuperscript{125}

In 1972, Congress passed the Equal Rights Amendment (ERA) with a ratification deadline of March 22, 1979 (later amended to June 30, 1982). The Amendment stated that “equal rights under the law shall not be abridged by the United States or by any State on account of sex.” The ERA was never ratified, in part due to efforts by opponents who suggested that, if ratified, the ERA could pave the way for conscription of women into the armed forces, potentially putting them in combat roles.

\textsuperscript{119} These dependent benefits included increased housing allowances and medical benefits. At the time Social Security payments were also allotted on the assumption that men were the primary breadwinners and women were the dependent spouses.
\textsuperscript{120} DACOWITS is authorized under the provisions of P.L. 92-463, the Federal Advisory Committee Act and meets on a quarterly basis to review issues and conducts information-gathering activities through installation visits, meetings, reports, and surveys. The committee provides recommendations to the Secretary of Defense through an annual report.
\textsuperscript{122} 29 U.S.C. §206(d).
\textsuperscript{123} 42 U.S.C. §§2000e et seq.
\textsuperscript{124} P.L. 90-130; 81 Stat. 374; November 8, 1967.
\textsuperscript{125} Army Chief of Staff General William C. Westmoreland congratulated them during their promotion ceremony by kissing them on the mouth, calling it “a new protocol for congratulating lady generals.” *Time* Magazine, Vol, 95, No. 25, June 22, 1970.
In the 1970s a series of policies for servicewomen’s military benefits and eligibility for military service were changed. In 1973, in the Supreme Court case of *Frontiero v. Richardson*, the Court held that the policy requiring female service members to prove the dependency of their spouses was unconstitutional, thus entitling female service members to the same dependent benefits as male service members for their spouse and children. In 1974, Congress reduced the minimum age of consent for women to be consistent with the age of consent for men.

Until a DOD policy change in 1975, women could be involuntarily discharged for pregnancy. In 1972, the Reserve Officers’ Training Corps (ROTC) was opened to women and in 1975, legislation was enacted allowing women to attend the military service academies. By 1975 women accounted for approximately 5% of the active duty force (see Figure 1). In a 1978 DOD study of utilization of women in the military, researchers found that in the all-volunteer force, recruiting more women had the benefits of improving quality and saving money. In support of expanding female recruitment, the report stated, The tradeoff in today’s recruiting market is between a high quality female and a low quality male. The average woman available to be recruited is smaller, weighs less, and is physically weaker than the vast majority of male recruits. She is also much brighter, better educated, scores much higher on the aptitude tests and is much less likely to become a disciplinary problem.

In 1978 the WAC was disestablished and women were assigned to Army branches for which they were eligible, in the same way as other soldiers. In the same year Congress authorized DOD to assign women to permanent duty on noncombatant Navy ships, and up to six months of temporary duty on other ships.

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128 After the policy change, women could still voluntarily separate if pregnant. Women in Military Service for America Memorial Foundation, Inc., History and Collections.
129 P.L. 94-106; 89 Stat. 537; October 7, 1975. Women had already been admitted to the Coast Guard and Merchant Marine Academies by administrative action.
131 The WAC was disestablished by the Army in a ceremony on April 28, 1978. P.L. 95-485 abolished the WAC by statute.
Figure 1. Women Serving on Active Duty as a Percentage of Total Active Duty Force
1975-2015

Source: Defense Manpower Data Center.

The 1990s: Increasing Roles for Women

In the early 1990s there was an expansion of military occupations open to women. In 1991, Congress removed the restriction on women’s assignment to combat aircraft. In the same year the President’s Commission on Women in the Armed Services was established. The commission’s recommendation and votes are summarized in Table 7. Voting members of the commission overwhelmingly recommended retaining restrictions on women’s assignment to direct ground combat and Special Operations roles and the commission members were strongly in favor of both gender-neutral assignment policies and military readiness as the deciding factor in assignment decisions. The Commission was sharply divided in terms of female assignments to combat aircraft and surface combatants, narrowing voting to reenact the law prohibiting women’s assignments to combat aircraft. However, in the National Defense Authorization Act for Fiscal Year 1994, Congress allowed women to serve as permanent crew on combat vessels and did not enact restrictions on women’s assignment to combat aircraft. In response to concerns by the commission that instances of the use of quotas were perceived as discriminatory or negatively affected morale, the same act also prohibited the Secretary of Defense from implementing any “gender quota, goal, or ceiling except as specifically authorized by law” for any occupational career field.

135 P.L. 103-160 §543.
Even as new roles for women were opening, a series of incidents raised questions about how the military was handling sexual harassment. In 1992, complaints arose of sexual harassment/assault at a Las Vegas military aviation symposium hosted by the Tailhook Association. After what was deemed an inadequate investigation by Navy inspectors, the Office of the Inspector General (IG) launched an independent investigation. The IG’s 1993 report identified 90 victims (83 women and 7 men) of indecent assault and highlighted a lack of leadership. In response to the IG report, then-Chief of Naval Operations Admiral Frank Kelso stated,
Tailhook brought to light the fact that we had an institutional problem in how we treated women. In that regard, it was a watershed event that has brought about institutional change. Incidents at military schools and training facilities also raised some alarm. In 1990, a female student (midshipman) at the Naval Academy left after an incident where she was handcuffed to a urinal in the men’s bathroom and photographed by other midshipmen. In response to this incident, then-Chairmen of the Senate Armed Services Committee and the Subcommittee on Manpower and Personnel asked GAO to conduct a study on the prevalence of sexual harassment at the Air Force, Naval, and Military academies. The results of the study were presented in a 1994 report finding that between 93% to 97% of academy women experienced at least one form of sexual harassment during the 1990-91 academic year. In 1996, a scandal at Aberdeen Proving Ground, an Army training base in Maryland, resulted in charges being brought against 12 male officers and enlisted trainers for sexual assault of females under their command. Sexual harassment and assault of female service members continues to be a concern of both Congress and DOD with the integration of women in the military.

Recent Changes to Women’s Assignment Policies

While laws prohibiting women from serving in combat units were repealed in the early 1990s, until recently it has been DOD policy to restrict women from certain military occupations and units, especially ground combat units. Operationally, in occupations and units in which women are eligible to serve, they serve in an integrated environment. On January 24, 2013, DOD rescinded the ground combat restrictions for women with the expectation that full implementation by the services would occur on January 1, 2016. The Air Force, Navy, Marine Corps, Army, and Special Operations Command were directed to develop implementation plans for the review of all closed occupations and units and the standards associated with entry and assignment to those units. Recommendations based on these reviews were due to be reported to the Secretary of Defense by September 30, 2013, in order that final decisions would be announced by the January 1, 2016 deadline. On December 3, 2015, Secretary of Defense Ashton Carter ordered the military to open all combat jobs to women with no exceptions. This most recent policy change followed extensive studies on issues such as unit cohesion, women’s health, equipment, facilities modifications, propensity to serve, and international experiences with women in combat.

Is the Gender Mix in the Military Representative of the Nation?

Female participation in the civilian and military workforce has been steadily rising over the past 50 years. In 1970, women accounted for less than 40% of the civilian workforce and less than 4% of the Armed Forces. Currently women account for a little over 15% of DOD’s active duty force. In comparison, in 2014, women accounted for approximately 47% of the civilian workforce in the United States. Growth in female representation in the military has mirrored growth in certain historically male-dominated civilian occupations. For example, female representation in the civilian police force rose from 3.7% in 1970 to 14.8% in 2010.

In 2015, women accounted for nearly one-fifth of all officers in the Air Force, Navy, and Army, and 7.0% of officers in the Marine Corps (see Table 8). Among enlisted ranks, the Navy and Air Force have about 18% of their active duty positions filled by female service members while the Army has 13% women and the Marine Corps has about 8%. While women make up about 20% of the officer corps, they account for less than 10% of the highest leadership positions.

The disparity between female representation in General and Flag officer ranks relative to the officer corps in current data could be influenced by a number of factors. Some argue that limits on women’s assignments, particularly to combat-related occupations and units, have harmed women’s career and promotion potential to the highest leadership positions. For example, Retired Air Force General Lester L. Lyles, who chaired the Military Leadership Diversity Commission, stated

> We know that [the exclusion] hinders women from promotion. [ ... ] they’re not getting credit for being in combat arms, [and] that’s important for their consideration for the most senior flag ranks.

The military personnel system does not allow lateral entry, thus the average general/flag officer (G/FO) has over 30 years of service. Therefore, today’s females eligible for G/FO rank likely entered service in 1985 before restrictions were lifted on women serving on combat aircraft (1991), surface combatants (1993), submarines (2010), and before the “risk rule” was rescinded (1988). Given this context, it may take some time before effects of current policy changes removing restrictions on female combat assignments can be observed in the data.

Another factor affecting the percentage of women in top positions may be related to retention rates for women in the military. Various studies have found that women leave the military at higher rates than men at various points during their career, meaning that while a new cohort of officer accessions may have a high percentage of females, that percentage may have dropped significantly by the time the cohort is eligible for promotion to senior ranks. In some past surveys and focus groups, military women have suggested that reasons for leaving the service

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145 In 1988 DOD implemented the “risk rule” which excludes women from noncombat units or missions if the risk of exposure to direct combat, hostile fire, or capture were equal to or greater than the risks in the combat units they support.
146 Previous studies have found that female officers generally have lower retention rates than their male counterparts. See for example, Asch, Beth J. et al., A New Look at Gender and Minority Differences in Officer Career Progression in the Military, RAND Corporation, Santa Monica, CA, 2012, p. xii.; or U.S. Government Accountability Office, Women in the Military: Attrition and Retention, NSAID-90-87BR, July 1990.
included perceptions of limited occupational roles, and concerns about harassment and family obligations.147

### Table 8. Female Representation in the Armed Forces

<table>
<thead>
<tr>
<th>Service Branch</th>
<th>% of Active Duty Force</th>
<th>% of Total Enlisted</th>
<th>% of Senior Enlisted (E-7, E-8, E-9)</th>
<th>% of Total Officers</th>
<th>% of General/Flag Officers</th>
</tr>
</thead>
<tbody>
<tr>
<td>Army</td>
<td>14.1%</td>
<td>13.5%</td>
<td>11.3%</td>
<td>18.1%</td>
<td>6.0%</td>
</tr>
<tr>
<td>Air Force</td>
<td>19.1%</td>
<td>18.8%</td>
<td>18.7%</td>
<td>20.2%</td>
<td>7.2%</td>
</tr>
<tr>
<td>Navy</td>
<td>18.0%</td>
<td>18.2%</td>
<td>10.3%</td>
<td>17.7%</td>
<td>9.3%</td>
</tr>
<tr>
<td>Marine Corps</td>
<td>7.7%</td>
<td>7.8%</td>
<td>5.4%</td>
<td>7.0%</td>
<td>1.2%</td>
</tr>
</tbody>
</table>


Notes: Total Officers excludes Warrant Officers for purposes of comparison as they are ineligible for General/Flag rank and the Air Force does not have Warrant Officers. Warrant officers are included in Total Active Duty Force calculation. General/Flag officers include O-7s and above.

It is important to note that female participation in the military is a function not only of the number of occupations open to women, but also propensity to serve in the armed forces and desire to serve in a given occupation. Propensity to serve is typically higher for men than women, and women are more likely to want to serve in the Navy or Air Force relative to the Army or Marine Corps. In youth polls between 2001 and 2010, the percent of young women who said that they would “probably” or “definitely” be serving in the military has been about 8% while the percent of men has been closer to 18%.148

In light of the opening of additional combat specialties to women, some have questioned whether women are interested in serving in combat roles. According to a 2013 Army survey of currently serving women, about 22% indicated that they were “moderately or very interested” in transferring to previously closed combat arms occupations.149 A 2012 study by the Center for Naval Analyses (CNA) of currently serving Marine Corps women found that about 31% would be interested in a lateral move to a ground combat occupation and that 41% would have selected a combat arms occupation if it had been an available option when they joined.150

**Lesbian, Gay, Bisexual, and Transgender (LGBT) Inclusion; Background and Force Profile**

Until the early 1990s individuals who were gay were not explicitly prohibited by U.S. law from serving in the Armed Forces, however since World War I, such individuals have been restricted by DOD personnel regulations or through application of military justice laws which prohibited


150 Strauss, David et al., *Assessing the Implications of Possible Changes to Women in Service Restrictions; A Quick-Look Analysis of Survey Results*, CNA, September 2012, p. 2.
sodomy.\textsuperscript{151} During World War II, those with “homosexual proclivities” were disqualified from inclusion in the military draft and DOD issued the first formal regulations that excluded gay people from the military, allowed gay service members to be discharged, and denied them veteran’s benefits.\textsuperscript{152} In 1953, President Dwight D. Eisenhower issued Executive Order 10450 prohibiting federal employees from participation in groups considered “subversive” and listed “sexual perversion” as a security risk and grounds for termination or denial of employment.\textsuperscript{153}

**Advocacy and DOD Policy Formation in the 1970s and 1980s**

In 1972, gay rights advocacy groups announced a platform for social change which included a call for

Issuance by the President of an executive order prohibiting the military from excluding for reasons of their sexual orientation, persons who of their own volition desire entrance into the armed services; and from issuing less-than-fully-honorable discharges for homosexuality; and the upgrading to fully honorable all such discharges previously issued, with retroactive benefits.\textsuperscript{154}

In the late-1970s, the Joint Service Administrative Study Group released a study including two recommendations concerning homosexual behavior.

One recommendation [was] to reaffirm the long-established ban on gays in the military. Specifically, the study group [had] proposed that the phrase “homosexuality is incompatible with military service” and “processing (for separation) is mandatory unless ... the allegations are groundless” be included in all subsequent DOD directives on personnel separations. The second recommendation [was] that, in cases of “unsuitability,” i.e., those involving homosexual tendencies or homosexual acts between consenting adults, individual receive an *honorable* discharge.\textsuperscript{155}

The recommendations from this study group provided the basis for DOD policy and in 1981, DOD issued a new directive stating that

Homosexuality is incompatible with military service. The presence in the military environment of persons who engage in homosexual conduct or who, by their statements, demonstrate a propensity to engage in homosexual conduct, seriously impairs the accomplishment of the military mission. The presence of such members adversely affects the ability of the military services to maintain discipline, good order, and morale; to foster mutual trust and confidence among service members; to ensure the integrity of the system of rank and command; to facilitate assignment and worldwide deployment of service members who frequently must live and work under close conditions affording minimal privacy; to recruit and retain members of the military services; to maintain public acceptability of military service; and to prevent breaches of security.\textsuperscript{156}

\textsuperscript{151} The Articles of War of 1916 and as modified in 1920 established a new category for Miscellaneous Crimes and Offenses under the statutes governing military discipline and justice. Article 93 under that category stated that sodomy could be punishable by court-martial. Sodomy laws were later incorporated in the Uniform Code of Military Justice when adopted in 1951.

\textsuperscript{152} http://www.usni.org/news-and-features/dont-ask-dont-tell/timeline.


In addition, the 1981 policy stated that any service member attempting to engage in a homosexual act would be subject to mandatory discharge.

The Evolution of Don’t Ask Don’t Tell

By the 1990s there was pressure from some advocacy groups to rescind the DOD’s policy on the grounds that it was a violation of civil rights and fair treatment. Proponents of maintaining the policy contended that allowing individuals who are gay to serve would prove disruptive to unit cohesion to the detriment of military readiness. In 1991, both the House and Senate introduced resolutions calling on President George H.W. Bush to rescind section of DOD policy that banned gay service members; these resolutions were referred to committee and did not go any further. In 1992, the GAO released a report estimating that between 1980 and 1990, approximately 17,000 service members had been discharged under the DOD’s separation policy and that the cost associated with replacing men and women discharged for homosexuality was $28,266 for each enlisted member and $120,722 for each officer. In the same year during the presidential campaign, then-candidate Bill Clinton expressed support for allowing gay people to openly serve in the military.

After taking office in January 1993, President Clinton moved forward with his campaign promise, ordering DOD to undertake studies on how to best reform the policy. Secretary of Defense Les Aspin commissioned two studies, one by a panel of general and flag officers called the Military Working Group (MWG), and one by the RAND Corporation’s National Defense Research Institute. While the MWG’s proposal recommended maintaining the status quo, stating that “homosexuality is incompatible with military service,” RAND’s findings suggested that sexual orientation is “not germane to determining who should serve in the military.”

The Clinton proposal to allow gay individuals to openly serve was controversial with the military, the public and many Members of Congress. The Senate and House Armed Services Committees held extensive hearings on the issue, and the congressional consensus that emerged was an approach that prohibited DOD from asking questions concerning the homosexuality of prospective members of the military, and required individuals to keep their homosexuality to themselves or be discharged if already in the service or denied enlistment or appointment if seeking to join the service. On July 19, 1993, President Clinton announced his compromise of a “don’t ask, don’t tell, don’t pursue” policy.

The National Defense Authorization Act for Fiscal Year 1994 (P.L. 103-160, Section 571) codified DOD’s admission and separation policies regarding gay individuals. The justification provided in the act for continuing to prohibit such individuals from openly serving was

The presence in the armed forces of persons who demonstrate a propensity or intent to engage in homosexual acts would create an unacceptable risk to the high standards of morale, good order and discipline, and unit cohesion that are the essence of military capability.

161 10 U.S.C §654, Policy Concerning Homosexuality in the Armed Forces.
This provision in the FY1994 NDAA also included a “sense of Congress” that the Secretary of Defense should consider issuing guidance governing the circumstances under which service members or prospective recruits are questioned about their sexuality. In response to the law, the DOD amended policies for entry into the military services and administrative separation. These policies barred “homosexual conduct” but required that individuals, “[... ] shall not be asked or required to reveal their sexual orientation nor shall they be asked to reveal whether they have engaged in homosexual conduct.” The grounds for discharge under the law were 1) the member has engaged in, attempted to engage in, or solicited another to engage in a homosexual act or acts; 2) the member states that he or she is a homosexual or bisexual; or 3) the member has married or attempted to marry someone of the same sex. This law came be known as “don’t ask, don’t tell” (DADT).

Critics of DADT suggested that it was harmful to gay, lesbian, and bisexual service members and to military readiness. These critics suggested that it forced individuals into ethical conundrums where they felt they had to conceal or lie about aspects of their personal lives to their peers and their leadership in order to keep their job. Some also suggested that ambiguity around the relationship between sexual orientation, proclivities, statements, and actions resulted in inconsistent implementation of separation policies. Critics also suggested that the existence of DADT deterred some qualified and motivated individuals from joining the military and caused some individuals to be separated from the military at a time when critical skills were needed.

Those in favor of maintaining DADT suggested that a very small portion of the total force was affected. From fiscal year 1994 through the end of fiscal year 2009, approximately 13,000 service members were administratively discharged for homosexual conduct under DADT which accounted for less than 0.1% of the total active and reserve component force during that time.

Repeal of Don’t Ask Don’t Tell

Between 2005 and 2009 there were several efforts to repeal DADT. During his 2010 State of the Union address, President Barack Obama announced that he would work with DOD and Congress to repeal DADT. In February 2010 the Senate Armed Services Committee held a hearing on the issue, at which time the Secretary of Defense, Robert Gates, announced that DOD would establish a high-level working group to review implementation issues associated with a

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162 Department of Defense Instruction, Qualification Standards for Enlistment, Appointment, and Induction, DODI 1304.26, December 21, 1993.


164 For a more thorough discussion of DADT and its repeal see CRS Report R40782, “Don’t Ask, Don’t Tell”: Military Policy and the Law on Same-Sex Behavior, by David F. Burrelli.

165 For example, after the September 11th attacks, the government faced a shortage of Arabic linguists and some raised concerns that in the military qualified linguists were being separated from the military due to their sexual orientation. See for example: “Gay Linguists Get the Boot,” at https://www.google.com/webhp?sourceid=chrome-instant&ion=1&espv=2&ie=UTF-8&q=gay%20interpreters%20military&es_th=1, or “Don’t Ask, Don’t Translate,” at http://www.nytimes.com/2007/06/08/opinion/08benjamin.html?_r=0.

166 Data is from the DOD as reported in CRS Report R40782, “Don’t Ask, Don’t Tell”: Military Policy and the Law on Same-Sex Behavior.

167 Representative Martin Meehan introduced legislation to repeal DADT in the Military Readiness Enhancement Act of 2005 (H.R. 1059) and 2007 (H.R. 1246). In both instances the Military Readiness Enhancement Act was referred to the House Committee on Armed Services but failed to advance.

168 President Barack Obama, White House Officer of the Press Secretary, Remarks by the President in State of the Union Address, January 27, 2010.
repeal of DADT. On March 2, 2010 the Secretary of Defense issued a memorandum directing the Comprehensive Review on the Implementation of a Repeal of 10 U.S.C. Section 654. On March 25, 2010, Secretary Gates changed DOD’s enforcement policies by raising the discharge authority for homosexual conduct to the level of general or flag officer, and to limit certain statements and categories of information that were admissible as evidence in support of such separations. That same year, a federal district court ruled that DADT was unconstitutional.

On November 30, 2010, DOD released the key findings of the working group regarding implementation of DADT repeal, which included:

- More than two-thirds of military service members who were surveyed did not object to gays and lesbians serving openly in uniform. (Higher levels of discontent were reported by individuals in combat arms specialties, combat units, and among the Chaplain corps.)
- Administrative concerns (e.g., sexual conduct, fraternization, billeting arrangements) associated with the repeal could be addressed through existing DOD policies and regulations with some changes.
- A repeal of DADT would impose minimal risk to military readiness in terms of unit cohesion, recruiting and retention, and performance.

Secretary Gates also called for Congress to act on legislation to repeal DADT within the year. On December 15, 2010, the House voted to repeal DADT by passing H.R. 2965. Three days later the Senate passed S. 4023 and the repeal was signed into law (P.L. 111-321) by the President on December 22, 2010. The law included a provision that delayed the effective date until 60 days after the President, Secretary of Defense, and Chairman of the Joint Chiefs of Staff certified that they were prepared to implement all policies and regulations associated with the repeal.

Post-DADT Integration

Although the DADT repeal allowed gay service members to serve openly and to marry their same-sex partner where such a marriage was legal, the federal government, under the 1996 Defense of Marriage Act (DOMA), did not recognize same-sex marriages for the purpose of federal benefits. Therefore, as DOD employees, military service members in same-sex marriages were not eligible to receive the same benefits (e.g., dependent ID cards, insurance benefits, counseling services) as married heterosexual couples. On February 11, 2013, then-Secretary of Defense Leon Panetta issued a memorandum directing the military departments to extend twenty benefits to same-sex domestic partners and children of same-sex domestic partners.

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Same-sex marriage was legal in some but not all States.


Secretary of Defense, Extending Benefits to Same-Sex Domestic Partners of Military Members, Memorandum for Secretaries of the Military Departments Acting Under Secretary of Defense for Personnel and Readiness, February 11, (continued...)
On June 26, 2013, in the U.S. Supreme Court case *United States v. Windsor*, the Court held that Section 3 of DOMA restricting federal interpretation of “marriage” and “spouse” to heterosexual unions was unconstitutional. Following this decision, DOD issued a new policy extending all military benefits for married couples to same-sex couples. Under the new policy, same-sex military couples married before or on June 26, 2013, were entitled to benefits and entitlements with an effective date of June 26; for same-sex couples married after June 26, their effective date of benefits and entitlements would be the actual date of marriage.

On June 26, 2015, the Supreme Court, in the case *Obergefell v. Hodges*, decided that same-sex couples had the fundamental right to marry under the Due Process Clause and Equal Protection Clause of the Fourteenth Amendment to the U.S. Constitution. This decision legalized same-sex marriage across the United States. While DOD had already recognized same-sex marriage for the purpose of military benefits, the Supreme Court’s decision allowed marriages of same-sex military couples to be recognized in states that previously had banned these types of marriages. However, not all states have nondiscrimination laws in place that protect individuals from discrimination based on sexual orientation. It is currently possible for gay service members and their same-sex spouses to be assigned to installations in states that have varying levels of non-discrimination protections for those seeking employment, housing, or other services within the local community.

The military does not track or report data on the number of gay or bisexual service members in the military. A 2010 study by the RAND Corporation estimated that 2.2% of men in the military self-identify as gay or bisexual, slightly lower than 3.2% in the general population as reported by the National Longitudinal Study of Adolescent Health. RAND estimate that 10.7% of military women self-identified as lesbian, gay, or bisexual relative to 4.2% in the general population.

**Transgender Service**

On December 18, 2014, Attorney General Eric Holder announced that the Department of Justice would take the position in litigation that the protection of Title VII of the Civil Rights Act of 1964 extends to claims of discrimination based on an individual’s gender identity, including transgender status. Title VII does not apply to military personnel and gender identity is currently not a protected category under military equal opportunity policy. The medical definition of “transgender” is applied to individuals who do not identify or conform to their physical gender at birth and this may include, but is not limited to, those who self-identify as transgender,

(...continued)

2013.


179 According to the American Civil Liberties Union, 22 states have state-wide employment nondiscrimination laws that include sexual orientation, and 19 states have state-wide employment nondiscrimination laws that include sexual orientation and gender identity.


transsexual, gender-queer, gender nonconforming, or cross-gender. For the purpose of diagnosis, the American Psychiatric Association classifies this condition as “gender dysphoria.”

Until June 30, 2016, DOD treated the physical and psychological aspects of transgender conditions as disqualifying conditions for new accessions and grounds for the discharge of existing service members.

Prior DOD policies:

1. Prohibited the appointment, enlistment, or induction of those with a “current or history of psychosexual conditions, including but not limited to transsexualism, exhibitionism, transvestism, voyeurism, and other paraphilias,” or those with “history of major abnormalities or defects of the genitalia including but not limited to change of sex, hermaphroditism, pseudohermaphroditism, or pure gonadal dysgenesis;”

2. Allowed service members to be separated administratively on the basis of a diagnosis of a mental disorder. Mental disorders are further defined by military department regulations to include, “psychosexual, transsexual, and gender identity conditions to include ... change of sex or a current attempt to change sex.”

The first policy effectively banned entry into service of those who have undergone sex reassignment surgery and those who have a psychiatric history of the conditions listed above. In the case of military discharges, while DOD policies allowed for existing service members to be administratively separated for psychiatric disorders, they did not require that the service member be separated. The DOD policy authorizes the discharge of the service member only if the mental health provider’s diagnosis “concludes that the disorder is so severe that the member’s ability to function effectively in the military environment is significantly impaired.”

On July 13, 2015, Secretary of Defense Ashton Carter announced that DOD would review its policies on transgender service. At the same time, he issued two directives:

1. The first created a working group composed of military and civilian personnel to study the policy and readiness implications of allowing transgender persons to serve openly.

2. The second appointed the Under Secretary of Defense for Personnel and Readiness as decision authority for administrative discharges for those diagnosed with gender dysphoria or who identify themselves as transgender, providing enhanced scrutiny over discharges of these members.

As part of the review, DOD asked the RAND Corporation to (1) identify the health care needs of the transgender population, transgender service members’ potential health care utilization rates, and the costs associated with extending health care coverage for transition-related treatments; (2) assess the potential readiness implications of allowing transgender service members to serve openly; and (3) review the experiences of foreign militaries that permit transgender service members to serve openly. RAND found that the cost, readiness, and cohesion impacts of including transgender service members would be relatively small and recommended policy changes in the areas of accession, retention, separation and deployment.

183 Ibid., p. xiv.
On June 30, 2016, Secretary of Defense Ashton Carter announced that “transgender Americans may serve openly, and they can no longer be discharged or otherwise separated from the military just for being transgender.”184 Furthermore, the new policy removes the ban on transgender enlistments and appointments and DOD has announced plans to begin to admit transgender recruits July 1, 2017. In terms of in-service transition, DOD issued a new policy (DODI 1300.28), effective October 1, 2016, that,

- Establishes a construct by which transgender Service members may transition gender while serving,
- Enumerates prerequisites and prescribes procedures for changing a Service member’s gender marker in the Defense Enrollment Eligibility Reporting System (DEERS), and
- Specifies medical treatment provisions for Active Component (AC) and Reserve Component (RC) transgender Service members.185

DOD has also been conducting training and has promulgated an implementation handbook designed to assist commanders, transgender service members, peers, and others. The handbook addresses specific scenarios related to, for example, physical standards, privacy and cohabitation, and overseas assignment considerations.186

There is a lack of reliable data on the number of transgender individuals in the military and in the general population. The DOD does not collect data on service members who identify as transgender, nor does the U.S. Census Bureau or the Centers for Disease Control and Prevention. Some estimates based on survey data suggest that transgender individuals make up between 0.1% and 0.5% of the total U.S. population.187 The 2016 RAND study estimated that there are approximately 2,450 transgender personnel in the active component (AC) and 1,510 in the selected reserve – less than 0.2% of the total force.188

**Religious Inclusion: Background and Force Profile**

Since the founding of the United States, individuals of all religions were allowed to serve in the military and in some cases allowed not to serve as a conscientious objector on the grounds of sincere religious beliefs.189 The First Amendment of the Constitution provides that “Congress

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185 More information and resources on these policy changes can be found at [http://www.defense.gov/News/Special-Reports/0616_transgender-policy](http://www.defense.gov/News/Special-Reports/0616_transgender-policy).
189 DOD Instruction 1300.06, May 31, 2007, regarding Conscientious Objectors defines religious training and/or belief as belief in an external power or “being” or deeply held moral or ethical belief, to which all else is subordinate or upon which all else is ultimately dependent, and which has the power or force to affect moral well-being. The external power or “being” need not be one that has found expression in either religious or societal traditions. However, it should sincerely occupy a place of equal or greater value in the life of its possessor. Deeply held moral or ethical beliefs should be valued with the strength and devotion of traditional religious conviction. The term “religious training and/or belief” may include solely moral or ethical beliefs even though the applicant may not characterize these beliefs as (continued...)
shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof.\textsuperscript{190} Religion continues to be a protected category under military equal-opportunity policies and DOD recognizes the rights of military service members to observe the tenets of their respective religions or to observe no religion at all without discrimination.

In cases where religious practices might conflict with other regulations, service members may request religious accommodation. Regulations specify five main areas where service members might request religious accommodation.\textsuperscript{191}

- Worship practices (e.g., observance of holy days or prayer times).
- Dietary practices (e.g., kosher or halal foods).
- Medical practices (e.g., vaccinations or blood transfusions).
- Uniform standards (e.g., head coverings, undergarments).
- Grooming standards (e.g., beards).

Accommodation requests are handled by commanders at the unit level (See Table 9 for Military Department-level policies). DOD instructs military leaders to approve requests for religious accommodation unless accommodation would have an adverse effect on, “[... ] mission accomplishment, including military readiness, unit cohesion, good order, discipline, health and safety, or any other military requirement.”\textsuperscript{192}

The Armed Forces also has a Chaplain Corps consisting of uniformed clergy that have been endorsed by a recognized ecclesiastical endorsing agency of the Chaplain’s faith or denomination as being suitable to serve in the military. Although military chaplains may be of different faith traditions (e.g., Protestant, Jewish, Muslim) they provide support to military service members of all religious backgrounds. Public law requires chaplains to conduct appropriate religious services for personnel at the command to which they are assigned at least once on each Sunday.\textsuperscript{193} Chaplains are required to follow military regulations and also the rules imposed by their respective endorsing agency in order to maintain the agency’s endorsement.

(...continued)

“religious” in the traditional sense, or may expressly characterize them as not religious. The term “religious training and/or belief” does not include a belief that rests solely upon considerations of policy, pragmatism, expediency, or political views.

\textsuperscript{190} For more information about the relationship of First Amendment rights to military personnel and religious exercise see CRS Report R41171, \textit{Military Personnel and Freedom of Religion: Selected Legal Issues}, by R. Chuck Mason and Cynthia Brown.

\textsuperscript{191} See for example, Department of the Army, \textit{Army Command Policy}, Army Regulation 600-20, November 6, 2014, p. 45.


\textsuperscript{193} 10 U.S.C. §3547 (Army), 10 U.S.C. §8547 (Air Force), 10 U.S.C. §6031 (Navy and Marine Corps). The language in statute regarding religious services for the Navy and Marine Corps differs slightly in that it is the responsibility of the commanders of vessels and naval activities to which chaplains are attached to “cause divine service to be performed on Sunday, whenever the weather and other circumstances allow it to be done...”
Table 9. Military Department Policy Regarding Religious Accommodation and Expression
As of August 2015

<table>
<thead>
<tr>
<th>Religious Accommodation and Expression</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Air Force (AFI 1-01)</strong></td>
</tr>
<tr>
<td>Every Airman is free to practice the religion of their choice or subscribe to no religious belief at all. You should confidently practice your own beliefs while respecting others whose viewpoints differ from your own. Every Airman also has the right to individual expressions of sincerely held beliefs, to include conscience, moral principles or religious beliefs, unless those expressions would have an adverse impact on military readiness, unit cohesion, good order, discipline, health and safety, or mission accomplishment. [ ... ] Commanders and supervisors at all levels must fairly consider requests for religious accommodation. Leaders at all levels must balance constitutional protections for their own free exercise of religion, including individual expressions of religious beliefs, and the constitutional prohibition against governmental establishment of religion. They must ensure their words and actions cannot reasonably be construed to be officially endorsing or disapproving of, or extending preferential treatment for any faith, belief, or absence of belief.</td>
</tr>
</tbody>
</table>

| **Navy and Marine Corps (SECNAVIST 1730.88B)** |
| DON policy is to accommodate the doctrinal or traditional observances of the religious faith practiced by individual members when these doctrines or observances will not have an adverse impact on military readiness, individual or unit readiness, unit cohesion, health, safety, discipline, or mission accomplishment. During a service member’s career in the DON, he or she will be exposed to a wide variety of religious expressions from both chaplains and other service members. It is DON policy to foster mutual respect for diverse religious expressions, which includes accommodating as many of them as possible at the command level. |

| **Army (AR 600-20)** |
| The Army places a high value on the rights of its Soldiers to observe tenets of their respective religions or to observe no religion at all. [ ... ] the Army will approve requests for accommodation of religious practices unless accommodation will have an adverse impact on unit readiness, individual readiness, unit cohesion, morale, good order, discipline, safety, and/or health. |

**Source:** Military Department-level Instructions and Regulations.

Is Religious Diversity in the Military Representative of the Nation?

Religious diversity in the military is broadly representative of the U.S. population. Approximately 70% of active duty military personnel consider themselves to be of a Christian denomination while 22% are atheist, agnostic, or have no religious preference. Less than 2% of active service members identify with Judaism, Islam, or non-Christian Eastern religions (see Figure 2). This breakdown is consistent with the religious make-up of the U.S. population. A 2014 Pew Research survey found that 70.6% of Americans describe themselves as Christians while 22.8% identify as atheist, agnostic, or “nothing in particular.” Americans practicing non-Christian faiths account for 5.9% of the U.S. population suggesting that non-Christian faiths may be underrepresented in the military.195

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195 Ibid. Jews accounted for 1.9%, Muslims for 0.9%, Buddhist and Hindus for 0.7% respectively and other non-Christian faiths for 1.8%.
Military Diversity and Equal Opportunity Issues for Congress

In the past, Congress has used its constitutional authority to establish criteria and standards that must be met for individuals to be recruited into the military, to advance through promotion, and to be separated or retired from military service. Throughout the history of the armed services, Congress has established some of these criteria based on demographic characteristics such as race, gender, and sexual orientation. Recent legislative efforts have focused on improving the reporting of demographic diversity data for recruitment, retention and promotion of service members; developing and improving processes for managing, reporting and responding to harassment and discrimination; and developing standards for the inclusion of women into new occupations and unit assignments.

Diversity in Leadership

In terms of diversity in leadership and effectiveness, some studies have shown that diversity in top management teams has a positive impact on organizational strategic and performance.
In addition, various studies and surveys have found that part of what attracts individuals to organizations and encourages retention is the individual’s perception of how they will fit into the organization. In this regard, diversity in leadership is considered by some to be a key element in attracting and retaining a diverse workforce. As noted in regard to the Vietnam Era,

African American troops, who rarely saw members of their own race in command positions, lost confidence in the military as an institution.

Despite increases in demographic diversity in the Armed Forces over the past few decades, some have raised the concern that racial and gender diversity among senior leadership positions and the officer corps in general is not reflective of the enlisted troops they lead and the nation they serve.

In the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (P.L. 110-417, Section 596), Congress mandated the creation of a Military Leadership Diversity Commission (MLDC) tasked with conducting “a comprehensive evaluation and assessment of policies that provide opportunities for the promotion and advancement of minority members of the Armed Forces, including minority members who are senior officers.” One of the commission’s key findings was that the Armed Forces have not been successful in developing a continuous stream of leaders as demographically diverse as the nation they serve. The commission made 20 recommendations for improving diversity and inclusion and many have been implemented by subsequent law and policy changes.

Section 519 of the National Defense Authorization Act for Fiscal Year 2013 (P.L. 112-239) required that DOD develop and implement a diversity in military leadership plan. As part of this plan, Congress also required that the Secretary of Defense and the Secretary of Homeland Security develop a uniform definition of “diversity” (as discussed in a previous section of this report). This NDAA provision also stated that DOD’s corps of senior officers and enlisted SHOULD, “reflect the diverse population of the United States eligible to serve in the armed forces, including gender specific, racial, and ethnic populations.” While the NDAA provision discouraged the use of quotas based on diversity characteristics, it also required DOD to report data on rank, promotions, and reenlistment by gender and race or ethnicity in its annual manpower requirements report to Congress (as required by 10 U.S.C. Section 115a) for FY2013 through FY2017. Congress may choose to end or extend this reporting requirement past 2017 or add additional data collection requirements.

Many believe that data reporting helps Congress monitor progress on diversity goals and prevent undue discrimination against historically underrepresented groups. Others argue that although

199 The Commission did not address issues related to the service of openly gay men and women as that topic was being addressed by the DOD Comprehensive Review Working Group.
201 Codified in 10 U.S.C.§656.
quotas are discouraged, the emphasis on data collection and reporting exerts pressure on military leaders to meet informal promotion and retention targets by race or gender. They argue that this pressure puts the military’s merit-based system at risk if demographics are weighted more heavily than performance in promotion decisions.

**Diversity and Inclusion at the Service Academies**

Developing a continuous pipeline of diverse senior leaders might require recruitment, retention, and promotion of an equally diverse officer corps. While there are a number of commissioning sources for officers, including Officer Candidate School, Direct Commissions, and the Reserve Officer Training Course (ROTC), in recent years there has been congressional interest in diversity at the U.S. service academies. The U.S. Naval Academy (USNA), U.S. Air Force Academy (USAFA) and U.S. Military Academy (USMA) account for approximately 18% of all officer commissions. Members of Congress have the authority to nominate candidates from their district (Representatives) and state (Senators) for appointment to the service academies. This authority provides for geographic diversity in the recruitment and appointment of officers, but some have questioned whether the academies are demographically representative of the nation. Table 10 and Table 11 show academy enrollment for 2014 by sex and race/ethnicity.

In 2013, females in the U.S. accounted for 56% of postsecondary enrollment in degree-granting institutions. With the exception of the Coast Guard Academy, females accounted for less than one-quarter of enrollments at the service academies. However, this percentage has risen over the four decades since women were first admitted to the academies. As discussed in previous sections, enrollments also depend on propensity for military service (lower for women than men) and historic limitations on women’s roles in the armed forces.

<table>
<thead>
<tr>
<th></th>
<th>Male</th>
<th>Female</th>
</tr>
</thead>
<tbody>
<tr>
<td>U.S. Naval Academy</td>
<td>77%</td>
<td>23%</td>
</tr>
<tr>
<td>U.S. Military Academy (West Point)</td>
<td>83%</td>
<td>17%</td>
</tr>
<tr>
<td>U.S. Air Force Academy</td>
<td>78%</td>
<td>22%</td>
</tr>
<tr>
<td>U.S. Coast Guard Academy</td>
<td>65%</td>
<td>35%</td>
</tr>
</tbody>
</table>

**Table 10. Service Academy Enrollment by Gender**

Fall 2014


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204 For more information on congressional nominations see, CRS Report RL33213, *Congressional Nominations to U.S. Service Academies: An Overview and Resources for Outreach and Management*, by R. Eric Petersen and Sarah J. Eckman.

Table 11. Service Academy and U.S. Postsecondary Enrollment by Race/Ethnicity

<table>
<thead>
<tr>
<th></th>
<th>White</th>
<th>Black or African American</th>
<th>Hispanic/Latino</th>
<th>Asian</th>
<th>Two or more races*</th>
<th>Other/Unknown</th>
</tr>
</thead>
<tbody>
<tr>
<td>U.S. Naval Academy</td>
<td>65%</td>
<td>7%</td>
<td>11%</td>
<td>7%</td>
<td>8%</td>
<td>2%</td>
</tr>
<tr>
<td>U.S. Military Academy</td>
<td>67%</td>
<td>9%</td>
<td>12%</td>
<td>6%</td>
<td>3%</td>
<td>3%</td>
</tr>
<tr>
<td>U.S. Air Force Academy</td>
<td>65%</td>
<td>6%</td>
<td>10%</td>
<td>4%</td>
<td>6%</td>
<td>8%</td>
</tr>
<tr>
<td>U.S. Coast Guard Academy</td>
<td>65%</td>
<td>4%</td>
<td>12%</td>
<td>6%</td>
<td>7%</td>
<td>5%</td>
</tr>
<tr>
<td>U.S. Postsecondary Institutions (average)</td>
<td>57%</td>
<td>14%</td>
<td>14%</td>
<td>6%</td>
<td></td>
<td>7%</td>
</tr>
</tbody>
</table>

Source: National Center for Education Statistics, College Navigator data for fall 2014. Data for all postsecondary enrolment at degree-granting institutions is from 2013 and can be found at http://nces.ed.gov/programs/coe/indicator_cha.asp.

Note: *Two or more races was not reported in source data for enrollment in U.S. postsecondary institutions. In this data, Hispanic/Latino is reported as a race category.

Some have raised concerns about discrimination against or favoritism toward different demographic minorities at the academies. For example, in a 2003 congressionally directed report, the GAO found that between 10% and 17% of all minority students felt that the overall atmosphere at the service academies for racial minorities was “poor or below average”; however, over 25% of African-Americans perceived discriminatory treatment. The same report found that approximately 40% of women perceived discriminatory treatment and felt that the overall atmosphere for women at the academies was “poor or below average.”

The House Report (H.Rept. 110-279) for the Fiscal Year 2008 Department of Defense Appropriations Bill directed the Secretary of Defense to conduct an assessment of social representation at the service academies in recruiting, admissions, graduation rates, and career success rates. Results varied by academy; however, in general the study found lower graduation rates for black and Hispanic officer candidates relative to their white counterparts, while Asian candidates had higher or similar graduation rates. The study also found that graduation rates for racial minorities were higher than graduation rates for minorities at other elite civilian universities. For women the opposite was true.

These studies analyzed results from 2003 and 2010; changes implemented by the service academies following those reviews aim to create a more favorable environment for racial minorities and women. Congress may conduct further reviews to understand demographic diversity from other commissioning sources or consider policies or programs (such as the Junior Reserve Officer Training Course (JROTC) and service academy preparatory schools) that can broaden outreach to underrepresented groups.

206 U.S. Government Accountability Office, Military Education; Student and Faculty Perceptions of Student Life at the Military Academies, GAO-03-1001, September 2003, p. 32 & 34.

207 In comparison, there were lower rates of perceived discrimination by Hispanics (4% to 8%), Asians (9% to 14%), Native Hawaiian/other Pacific Islanders (4% to 18%), and American Indian/Alaska Natives (3% to 4%).

208 U.S. Government Accountability Office, Military Education; Student and Faculty Perceptions of Student Life at the Military Academies, GAO-03-1001, September 2003, p. 26 & 34.

209 Kirby, Sheila N., Thie, Harry, J., and Naftel, Scott et al., Diversity of Service Academy Entrants and Graduates, RAND Corporation, Santa Monica, CA, 2010.
Management of Harassment/Discrimination Claims

In recent years, Congress has had an interest in DOD’s management of discrimination and harassment claims, particularly in the area of sexual harassment. Before 2013, DOD did not track or report sexual harassment (or other forms of harassment/discrimination) complaint data in any systematic way. The National Defense Authorization Act for Fiscal Year 2013 (P.L. 112-239, Section 572) required the Secretary of Defense, through ODMEO, to provide a report on substantiated incidents of sexual harassment that involved members of the Armed Forces, including identifying cases in which a member is accused of multiple incidents of sexual harassment. To comply with this requirement, DOD has developed the Department of Defense Report on Substantiated Incidents of Sexual Harassment in the Armed Forces.\(^{210}\)

Recent legislation has also formalized the requirement for command climate assessments. Section 579(b) of the FY2013 NDAA required that a command climate assessment be conducted within 120 days of an officer assuming command and at least annually thereafter. This provision also required an assessment of commanders’ responses to allegations of sexual harassment and assault.

The National Defense Authorization Act for Fiscal Year 2014 (P.L. 113-66) included a number of provisions that enhanced compliance, reporting, and dissemination of command climate assessments:

Section 587 required that the results of command climate assessments are provided to the relevant individual commander and to the next higher level of command, and that performance evaluations of commanders indicated whether or not the commander had conducted the required assessment.

Section 1721 required Secretaries of the military departments to verify and track the compliance of commanding officers in conducting organizational climate assessments.

Section 1751 expressed the sense of Congress that—(1) commanding officers in the Armed Forces are responsible for establishing a command climate in which sexual assault allegations are properly managed and fairly evaluated and in which a victim can report criminal activity, including sexual assault, without fear of retaliation, including ostracism and group pressure from other members of the command; (2) the failure of commanding officers to maintain such a command climate is an appropriate basis for relief from their command positions; and (3) senior officers should evaluate subordinate commanding officers on their performance in establishing a command climate as described in paragraph (1) during the regular periodic counseling and performance appraisal process prescribed by the Armed Force concerned for inclusion in the systems of records maintained and used for assignment and promotion selection boards.

Congress has also expressed concern about DOD’s organization for and management of sexual harassment cases. The FY2014 NDAA (P.L. 113-66, Section 1735) required a review\(^{211}\) of ODMEO to evaluate the relationship between ODMEO and the DOD’s Sexual Assault Prevention and Response Office (SAPRO)\(^{212}\) in sexual harassment cases and to evaluate whether ODMEO has the resources and capability to track and address these cases. Some feel that sexual harassment and assault are closely linked and that management of both cases should fall under the same office. Others note that there are very different reporting processes and legal implications for sexual assault (a criminal offense) and sexual harassment and that the management and

\(^{210}\) The full report is publicly available on ODMEO’s website.

\(^{211}\) The FY2015 NDAA imposed a deadline of April 1, 2015 for this review to be submitted to the House and Senate Armed Services Committees.

\(^{212}\) The SAPRO office is responsible for oversight of DOD’s sexual assault policies and programs.
processing of sexual harassment complaints should remain a function of diversity and equal opportunity offices.

**Inclusion of Transgender Service members**

New DOD policies will allow transgender individuals to enter the armed forces and to serve openly. There are no laws prohibiting transgender individuals from serving in the military. There are some who express concerns about DOD policy changes and implementation and advocate for legislative action to place restrictions on transgender service.

One concern that has been raised is the privacy of troops in terms of berthing assignments and toilet/shower facilities. Some argue that female service members might not feel comfortable sharing close quarters with a formerly male service member or vice versa. Some express concerns that these issues could affect unit cohesion in yet unforeseen ways. Generally, existing evidence from foreign militaries with policies allowing transgender service has not shown any adverse effects on cohesion. Nevertheless, in these militaries, there were some reported incidences of initial resistance or hostility towards transgender personnel.

Others have argued that there are potentially higher medical costs associated with providing care for transgender individuals that may include hormone replacement therapy, counseling, and/or gender reassignment surgery. For individuals who have undergone or would like to undergo physical transitions, proponents of allowing them to serve note that hormone replacement therapy is already provided by TRICARE for other medical conditions and that service members are also permitted to have elective cosmetic procedures at military medical facilities. In addition, although the Department of Veterans Affairs (VA) does not fund sex reassignment surgery, the VA does provide medically necessary care to intersex and transgender veterans including, “hormonal therapy, mental health care, pre-operative evaluation, and medically necessary post-operative and long-term care following sex reassignment surgery.” In a 2016 report, RAND estimated that the health care costs to DOD associated with gender-transition treatment for active component members under the Military Health System would be between $2.4 million and $8.4 million. In relative terms, this is a small portion (less than 0.2%) of total DOD annual health expenditures of approximately $6 billion. Senator John McCain, commenting on DOD’s policy change, stated, “I will be calling up the chiefs of the services, those men and women in uniform who are the

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214 There is not widely available data on costs, and actual costs for treatment may vary significantly by individual. For example, a study of transgender health insurance claims reported by the University of California found that the claims varied from $67 to $86,800 with an average cost of $29,929 per person requiring treatment. State of California Department of Insurance, “Economic Impact Assessment; Gender Nondiscrimination in Health Insurance,” Los Angeles, CA, April 13, 2012, see http://transgenderlawcenter.org/wp-content/uploads/2013/04/Economic-Impact-Assessment-Gender-Nondiscrimination-In-Health-Insurance.pdf.


217 This is based on the estimated number of transgender service members and the estimated number that would seek transition-related medical services annually.

heads of the military, and ask them their views, including the cost of implementing, I’m talking about the fiscal costs, of implementing some of these changes, and we’ll be having hearings.”

Beyond the cost of medical treatment, some opponents also argue that allowing transgender individuals to serve may affect readiness in terms of deployability or work-days lost in order to receive medical treatment. Others have suggested that a transgender service member’s health might be at risk if the individual requires specialized medical treatments or therapies that are not easily accessible in remote assignments. Proponents of allowing transgender individuals to serve point out that other medical conditions (e.g., pregnancy) and surgical procedures (including elective procedures) may either temporarily or permanently affect deployability; however, the services make some accommodations for this by allowing leave or placing the service member on a limited duty status.

DOD policies require service members to be responsible for maintaining health, fitness, and medical readiness, and to report any medical or health issues to their chain of command. In addition, for accession into the military, there are certain medical standards that must be met, and service members may be subject to separation while in entry-level status during the period of initial training if a medical condition impairs the member’s ability to complete the training. The DOD’s transgender policy notes that “the All-Volunteer Force readiness model is largely based on those newly accessed into the military being ready and available for multiple training and deployment cycles during their first term of service,” however, the policy does not permit “a blanket prohibition on gender transition during a service member’s first term of service.” The 2016 RAND study found that less than 0.1% of the force would seek transition-related care that could potentially disrupt an individual’s ability to deploy and that 0.0015% of total labor-years would be affected by transition-related health care.

Congress has not adopted any legislation with regard to gender identity and the ability to serve on active duty; however, proposals to support transgender veterans have been raised. In the House version of the National Defense Authorization Act for Fiscal Year 2016 (H.R. 1735), Section 536 would have required DOD to reissue a certificate of discharge (DD Form 214 or DD214) to veterans who have changed their gender identity and name after military separation. Currently veterans are authorized to change their DD214 to rectify an error or to remove an injustice, but veterans are required to provide an explanation of injustice. The Senate amendment did not include a similar provision, and the House proposal was not adopted in the FY2016 NDAA.

Congress may choose to continue to defer to DOD for policies and regulation in relation to accession, separation, and health care for transgender service members. Alternatively, Congress could also enact legislation to reverse DOD policy or specify further requirements for transgender service. In its oversight role, Congress could monitor the implementation of DOD’s new policies, and the impacts on cost, readiness, and unit cohesion.

221 The period of initial training is defined as 180 days per DODI 1332.14.
222 Department of Defense, In-Service Transition for Transgender Service Members, DOD Instruction 1300.28, June 30, 2016.
223 Ibid. p. xii.
225 Veterans must submit a DD Form 149, Application for Correction of Military Record to provide justification for changes.
227 DODI 1300.28 specifies that, “beginning in 2018 and no less frequently than triennially thereafter, Secretaries of the (continued...)}
Religious Discrimination and Accommodation

There has been recent interest in Congress regarding rights of conscience and religious accommodations for service members and chaplains in the military. Section 533 of the National Defense Authorization Act for Fiscal Year 2013 (P.L. 112-239) as amended, required military departments to accommodate the sincerely held beliefs (conscience, moral principles, or religious beliefs) of service members and required that such beliefs not be used as the basis for adverse personnel action unless the service member’s actions or speech threaten good order and discipline as proscribed under the Uniform Code of Military Justice (UCMJ). The current DOD policy incorporates these legislative changes.

Section 533 of the National Defense Authorization Act for 2014 (P.L. 113-66) required the DOD Inspector General (DODIG) to investigate compliance by the Armed Forces with

the elements of such regulations on adverse personnel actions, discrimination, or denials of promotion, schooling, training, or assignment for members of the Armed Forces based on conscience, moral principles, or religious beliefs.

The NDAA provision also required that the resulting report identify the frequency of incidents involving conscience, moral principles, or religious beliefs of a service member.

The resulting report found a total of 398 incidents between 2011 and 2014 where contact was made by service members regarding religious rights of conscience. DODIG found no instance where a commander forced or attempted to force a chaplain to perform a service that was contrary to his or her conscience, moral principles, or religious beliefs. Nearly 60% of the contacts were categorized by DODIG as “command climate related” and included incidents of perceived discrimination based on religious belief or nonbelief, perceived forced engagement in religious practices or communications, perceived suppression of religious expression/opinion, reprisals or personnel action based on expressions of belief, unwanted proselytization, and incidents related to the repeal of DADT. Other notable findings of the DODIG were as follows.

- Religious accommodation requests were not being addressed consistently within established timeframes.
- Approved accommodation requests did not follow service members through their career as they transitioned commands.
- Data on compliance with rights of conscience protections was not collected or reported in an efficient or systematic way.

In response to the report, DOD indicated that it would implement some policy changes to address issues that the DODIG raised. These changes include reviewing the feasibility of timelines for

(...continued)

Military Departments and the Commandant, USCG, will direct an Inspector General Special Inspection of compliance with this issuance and implementing Military Department or USCG regulations, policies, and guidance.”

228 Chapter 47 of Title 10 United States Code.
230 This timeframe was chosen to ensure data would include impacts of the repeal of DADT and the Defense of Marriage Act.
approval of religious accommodation requests, allowing religious accommodation waivers to remain in effect until revoked, and establishing a working group to review data collection efforts.

**Are Diversity and Equal Opportunity Initiatives Needed in the Military?**

In recent years, Congress and the Administration have taken actions to build a more diverse and representative military workforce in parallel with efforts to diversify the federal civilian workforce. Today’s Armed Forces are more inclusive and have a higher percentage of women and racial minorities in service and in leadership roles than at any time in history. In addition, policies, processes, training and structures have been put into place to monitor and reduce instances of discrimination and to improve and expand upon military equal opportunity. This has led some to ask if there is more to be done to promote diversity, inclusiveness and equal opportunity in the military, or whether the military has gone too far in some cases.

Proponents of expanding diversity initiatives contend that a more diverse force has the potential to be a better performing and more efficient force. They point out that the nature of modern warfare has been shifting, requiring a range of new skills and competencies, and that these skills have to be developed from a shrinking pool of eligible candidates. Some note that the demographic characteristics of the nation have been rapidly shifting and that the pool of eligible candidates for military service will become increasingly racially diverse over the next few decades. Meanwhile, women have increased their workforce participation rates and educational attainment, thus increasing the pool of skilled women eligible for recruitment. Many believe that it has always been in the best interest of the military to recruit and retain a military force that is representative of the Nation as a “broadly representative military force is more likely to uphold national values and to be loyal to the government—and country—that raised it.” In this regard, some say, in order to reflect the nation it serves the military should strive for diversity that mirrors the shifting demographic composition of civil society.

Those who advocate for continued focus on equal opportunity initiatives in the military contend that historically underrepresented or discriminated-against demographic groups are still at a disadvantage, particularly in their ability to ascend to the highest leadership positions. Proponents of equal opportunity protections argue that if the military is to remain competitive with private sector employers in recruiting a skilled workforce, DOD should offer the same level of rights and protections as civilian employers. In addition, some argue that even though equal protections may exist in policy, more needs to be done to ensure that service members do not experience discrimination or ostracism on the basis of, for example, religious beliefs or sexual orientation.

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232 Military recruiting studies have noted that based on current demographics, the available pool of youth that are eligible for military service is shrinking. Some DOD estimates suggest that nearly 75% of 17- to 24-year-olds are ineligible to serve. The cited reasons for this are height/weight or medical issues, low aptitude (based on entry testing), and other legal or moral issues.

233 The U.S. Census Bureau estimates that by 2050, racial minorities will comprise 54% of the total U.S. population relative to 35% racial minorities in 2010.

234 Recent population surveys have shown that 25- to 34-year-old women were approximately 21% more likely than men to be college graduates and 48% more likely to have completed graduate school. Bidwell, Allie, “Women More Likely to Graduate College, but Still Earn Less than Men,” *U.S. News and World Report*, October 31, 2014.

Some are concerned about how diversity and equal-opportunity initiatives might be implemented and whether they could harm military effectiveness. Some feel that diversity initiatives such as actual or perceived quotas could hurt the military’s merit-based system. Others contend that a military that is representative of the nation should also reflect the social and cultural norms of the nation. In this regard, they argue that the popular will for social change should be the driving factor for DOD policies. Some express concerns that that the inclusion of some demographic groups is antithetical to military culture and could affect unit cohesion, morale, and readiness—particularly in elite combat units.

In terms of equal opportunity, some point out that the military’s mission is unique and, as such, protections that apply in the civilian workplace are not relevant in the military context. In that regard, they contend that eligibility for military service or certain occupations within the military necessitates some exclusion based on these special demands.

As Congress continues in its historic role of oversight, these are among the issues it will likely face as it establishes the ongoing standards for the U.S. Armed Forces of the 21st century.

**Author Contact Information**

Kristy N. Kamarck  
Analyst in Military Manpower  
kkamarck@crs.loc.gov, 7-7783